

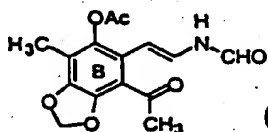


## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

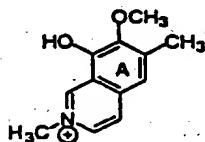
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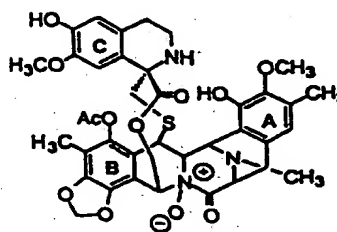
With international search report.

**(54) Title:** METABOLITES OF ECTEINASCIDIN 743

(ETM 305)



(ETM 204)



(ETM 775)

**(57) Abstract**

The purification and structure elucidation of several products of the metabolism of Et 743 by human cytochrome CYP3A4 have been accomplished. These compounds are abbreviated herein as "ETM" followed by a numeric value which represents the approximate molecular weight. Three compounds have been identified to date, namely ETM 305, ETM 775 and ETM 204. The structures of these ecteinascidin metabolites are shown as ETM 305, ETM 204 and ETM 775.

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## METABOLITES OF ECTEINASCIDIN 743

### BACKGROUND OF THE INVENTION

The ecteinascidins (herein abbreviated Et or Et's) are exceedingly potent antitumor agents isolated from the marine tunicate *Ecteinascidia turbinata*. In particular, Et's 729, 743 and 722 have demonstrated promising efficacy *in vivo*, including activity against P388 murine leukemia, B16 melanoma, Lewis lung carcinoma, and several human tumor xenograft models in mice.

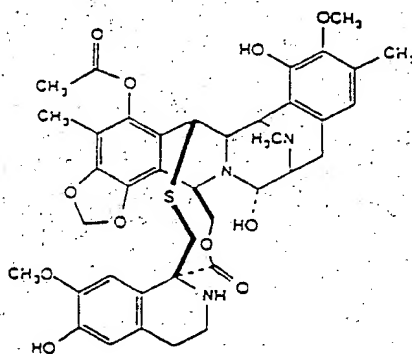
The isolation and characterization of natural Et 743 is taught in U.S. Patent No. 5,089,273 which is hereby incorporated herein by reference. The preparation of synthetic Et 743 is taught in U.S. Patent No. 5,721,362, which is hereby incorporated herein by reference.

The antitumor activities of ecteinascidin compounds, particularly Et 729 and Et 743 are well documented in the scientific literature. See for example,

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Goldwasser et al., *Proceedings of the American Association for Cancer Research*, **39**: 598 (1998); Kuffel et al., *Proceedings of the American Association for Cancer Research*, **38**: 596 (1997); Moore et al., *Proceedings of the American Association for Cancer Research*, **38**: 314 (1997); Mirsalis et al., *Proceedings of the American Association for Cancer Research*, **38**: 309 (1997); Reid et al., *Cancer Chemotherapy and Pharmacology*, **38**: 329-334 (1996); Faircloth et al., *European Journal of Cancer*, **32A**, Supp. 1, pp. S5 (1996); Garcia-Rocha et al., *British Journal of Cancer*, **73**: 875-883 (1996); Eckhardt et al., *Proceedings of the American Association for Cancer Research*, **37**: 409 (1996); Hendriks et al., *Proceedings of the American Association for Cancer Research*, **37**: 389 (1996); the disclosures of which are hereby incorporated herein by reference.

Ecteinascin 743 (Et 743) has the following structure:



Et 743

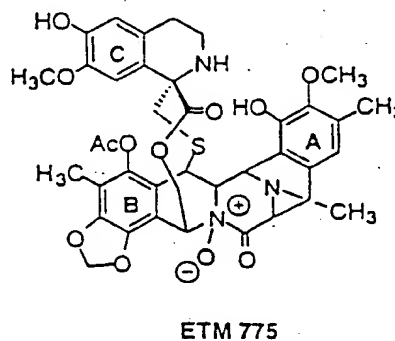
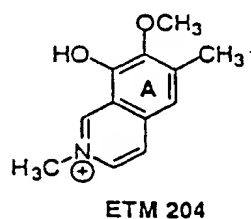
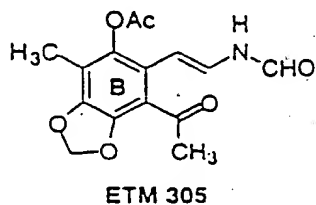
In view of the impressive antitumor activities of this class of compounds, the search continues for related structures that may possess equal or higher levels of antitumor activity. The present invention, which is directed to the isolation and characterization of natural metabolites of Et 743, is a result of these continued studies.

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### SUMMARY OF THE INVENTION

The purification and structure elucidation of several products of the metabolism of Et 743 by human cytochrome CYP3A4 have been accomplished. These compounds are abbreviated herein as "ETM" followed by a numeric value which represents the approximate molecular weight.

For example, ETM 305 and ETM 775 were isolated from a metabolic mixture obtained from a biochemical study performed by the Analytical Chemistry Department at PharmaMar, Spain. A similar metabolic study carried out by the Mayo Clinic led to the identification of ETM 204. The structures of these ecteinascidin metabolites are as follows:



### BRIEF DESCRIPTION OF THE DRAWINGS

The present invention may be better understood by reference to the drawings accompanying this specification, wherein:

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Figure 1 is the  $^1\text{H}$  NMR spectrum (500 MHz) of ETM-SiOH-1 (non-polar impurity) in  $\text{CDCl}_3$ ;

Figure 2 is the HPLC chromatogram of ETM-SiOH-4 (ETM 775);

Figure 3 is the HPLC chromatogram of ETM-SiOH-3 (ETM 305);

Figure 4 is the HPLC chromatogram of ETM-SiOH-2 (trace metabolites);

Figure 5 is the LRFAB mass spectrum of ETM 305 in M.B. (magic bullet<sup>4</sup>);

Figure 6 is the ESI mass spectrum of ETM 305;

Figure 7 is the  $^1\text{H}$  NMR spectrum (750 MHz) of ETM 305 in  $\text{CD}_3\text{OD}$ ;

Figure 8 is the FAB/MS/MS spectrum of ETM 305;

Figure 9 is the UV spectrum of ETM 305;

Figure 10 is the UV spectrum of ETM;

Figure 11 is the LRFAB mass spectrum of ETM 775 in M.B.;

Figure 12 is the ESI mass spectrum of ETM 775 (positive mode);

Figure 13 is the ESI mass spectrum of ETM 775 (negative mode);

Figure 14 is the FAB/MS/MS spectrum of ETM 775 ( $m/z$  138-302);

Figure 15 is the FAB/MS/MS spectrum of ETM 775 ( $m/z$  440-620);

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Figure 16 is the  $^1\text{H}$  NMR spectrum (750 MHz) of ETM 775 in  $\text{CD}_3\text{OD}$ ;

Figure 17 is the UV spectrum of ETM 775;

Figure 18 is the HPLC chromatogram of ETM 305;

Figure 19 is the UV spectrum of ETM 305;

Figure 20 is the ESI mass spectrum of ETM 305;

Figure 21 is the ESI mass spectrum of ETM 204;

Figure 22 is the  $^1\text{H}$  NMR spectrum (500 MHz) of ETM 204 in  $\text{CD}_3\text{OD}$ ; and

Figure 23 is the ESI/MS/MS spectrum of ETM 204.

## DETAILED DESCRIPTION OF THE INVENTION

### I. Et 743 Metabolic Study

#### A. Preparation of Metabolic Mixture - ETM:

Et-743 (50  $\mu\text{M}$ ) was incubated with 0.4 mg/ml of human lymphoblast-expressed CYP3A4 isoform (Gentest Corporation, Woburn, MA) in 0.1 M Tris-HCl buffer (pH 7.4) containing an NADPH generating system (0.4 mM NADP $^+$ , 25 mM glucose-6-phosphate, 0.5 U/ml glucose-6-phosphate dehydrogenase and 3.3 mM magnesium chloride). After four (4) hours at 37°C, the reaction was stopped with ice cold acetonitrile and the solids removed by centrifugation (12,000 g, 4 min.). Supernatants were analyzed by HPLC.

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**B. Purification of ETM 305 and ETM 775**

2.6 mg of ETM (generated as in A, above) was dissolved in a small amount of  $\text{CHCl}_3$  and loaded into a silica gel column (8 x 100 mm glass column filled with a silica gel/ $\text{CHCl}_3$  slurry). First, the column was eluted with  $\text{CHCl}_3$  followed by  $\text{CHCl}_3$  / MeOH mixtures (98, 96, 94, 92 and 90%). A total of ten test tubes were collected (3 mL each) and combined on the basis of TLC to yield four fractions (Table 1). The less polar and non-cytotoxic fraction (ETM-SiOH- 1, 2 mg) consisted of a lipid not structurally related to Et 743 as revealed by the  $^1\text{H}$  NMR spectrum (Figure 1).

The remaining cytotoxic fractions were further purified by HPLC (Phenomenex-Ultracarb ODS, 10  $\mu\text{m}$ , 10 x 150 mm, 3:1 MeOH/ $\text{H}_2\text{O}$  0.02 M NaCl, 1 mL/min., Da Detection: 210, 220, 254 and 280 nm). The most polar fraction (ETM-SiOH-4, 0.2 mg) yield 0.1 mg of ETM 775 (Figure 2). ETM-SiOH-3 yield 0.3 mg of ETM 305 (Figure 3), and ETM-SiOH-2 consisted of a complex mixture of trace metabolites (Figure 4).

**Table 1.** ETM-SiOH fractions:  $R_f$ , weight and cytotoxic activity.

ID#	Test-tube #	$R_f^a$	Weight	L1210
				growth inhibition (%) at 500 ng/mL
ETM-SiOH 1	1	0.9	2.0 mg	0
ETM-SiOH 2	2	0.5, 0.7	0.3 mg	80 <sup>b</sup>
ETM-SiOH 3	4-5	0.5	0.4 mg	30
ETM-SiOH 4	6	0.3	0.2 mg	3

<sup>a</sup>Silica gel TLC using 9:1  $\text{CHCl}_3$  / MeOH as mobile phase. <sup>b</sup>30% inhibition at 250 ng.



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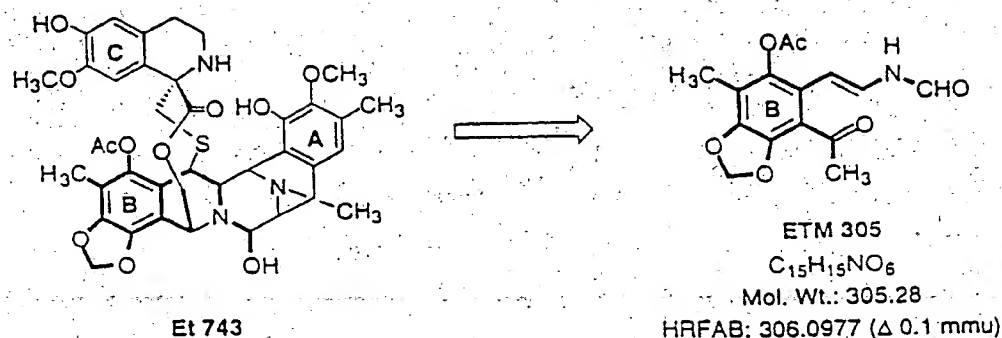
### C. The Structure of ETM 305.

ETM 305 ( $IC_{50}$  0.2  $\mu\text{M/mL}$  vs L1210 cells) showed a molecular ion at 306.0977 by HRFAB/MS (Figure 5). This data is in agreement with the molecular formula  $C_{15}H_{16}NO_6$  ( $\Delta$  0.1 mmu). ESI/MS analysis confirmed the molecular weight of ETM 305 (Figure 6); a molecular ion at  $m/z$  306 was observed together with its sodium adduct ( $m/z$  328). The  $^1\text{H}$  NMR spectrum of ETM 305 (Figure 7) was very important for the structural assignment. Resonances at  $\delta$  2.04, 2.28 and 6.09 were almost identical to those of Me-6 ( $\delta$  2.03),  $-\text{OCOCH}_3$  ( $\delta$  2.29) and the dioxy-methylene protons ( $\delta$  6.11 and 6.01) in Et 743,<sup>1</sup> respectively.

In addition, it was observed resonances corresponding to a  $-\text{CH}=\text{CH}-\text{NHCHO}$  unit ( $\delta$  7.09, d, 1H,  $J=15$  Hz;  $\delta$  6.19, d, 1H,  $J=15$  Hz;  $\delta$  8.04, s, 1H),<sup>2</sup> and an additional methyl group ( $\delta$  2.52, s, 3H). The chemical shift of this methyl group match pretty well with that of the methyl group on acetophenone<sup>3</sup> ( $\delta$  2.55). It is interesting to note that the  $^1\text{H}$  NMR spectrum of ETM 305 consisted of two sets of resonances (4:1 ratio) due to rotational conformers around the  $-\text{NH}-\text{CHO}$  bond. The  $^1\text{H}$  NMR data together with the MS data suggested that ETM 305 had the B-unit aromatic ring system of Et 743 attached to a vinyl-formamide unit and to a methyl ketone as shown in Scheme 1. FAB/MS/MS on  $m/z$  306 supported the proposed structure (Figure 8).

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Scheme 1

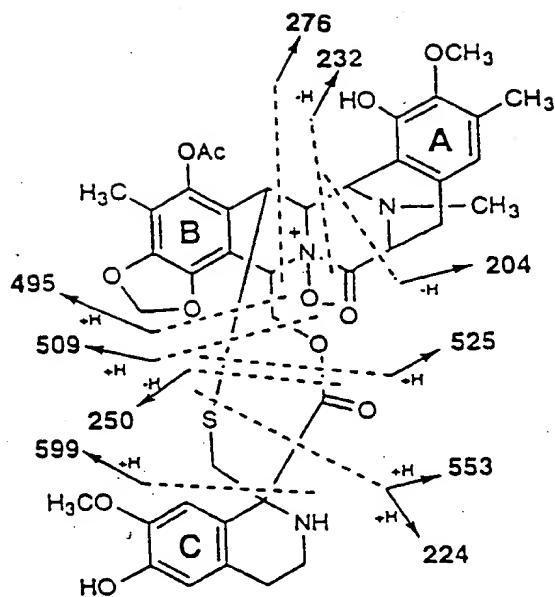


#### D. The Structure of ETM 775.

ETM 775 ( $IC_{50}$  0.2  $\mu$ g/mL vs L1210 cells) showed a molecular ion at 776.2489 by HRFAB/MS (**Figure 11**). This data is in agreement with the molecular formula  $C_{39}H_{42}N_3O_{12}S$  ( $\Delta$  0.0 mmu) which indicated that ETM 775 is an oxidation product of Et 743. Both, positive and negative mode ESI/MS spectra confirmed the molecular weight of ETM 775 (**Figures 12 and 13**). Because of the limited amount of ETM 775, the structural assignment was carried out mainly by interpretation of its mass spectral data. FABMS/MS on  $M + H$  of ETM 775 ( $m/z$  776) was critical in assigning the location of the extra oxygen was located on N-2 in the form of an N-oxide as revealed by peaks at  $m/z$  276 and 260 (276 - oxygen). A fragment ion at  $m/z$  232, not observed in Et 743, suggested that the carbinol amine oxygen was oxidized to the amide (**Scheme 3**). The structures of the A- and C-units in ETM 775 remained intact as revealed by the presence of the characteristic mass spectral peaks at  $m/z$  204 (A-unit), and  $m/z$  224 and 250 (C-unit).<sup>1</sup> Both, the 750 750 Mhz  $^1H$  NMR (**Figure 16**) and the UV (**Figure 17**) spectra resembled those of Et 743.<sup>1</sup>

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Scheme 2



ETM 775  
 $C_{39}H_{42}N_3O_{12}S$   
 HRFAB: 776.2489 ( $\Delta$  0.0 amu)

## II. Et 743 - Mayo Metabolic Study

### A. M1 metabolite (ETM 305).

The ETM sample was filtered through a C18 sep-pack and the eluant (3:1 MeOH/H<sub>2</sub>O) concentrated under a nitrogen stream. Purification of the resulting residue by HPLC (same conditions as described above) revealed the presence of a compound with a retention time identical to that of ETM 305 (Figure 18). Both, the UV (Figure 19) and ESI/MS<sup>-</sup> (Figure 20) spectra of M1 were identical to that of ETM 305. Thus, it was concluded that M1 metabolite had the same chemical structure as ETM 305.

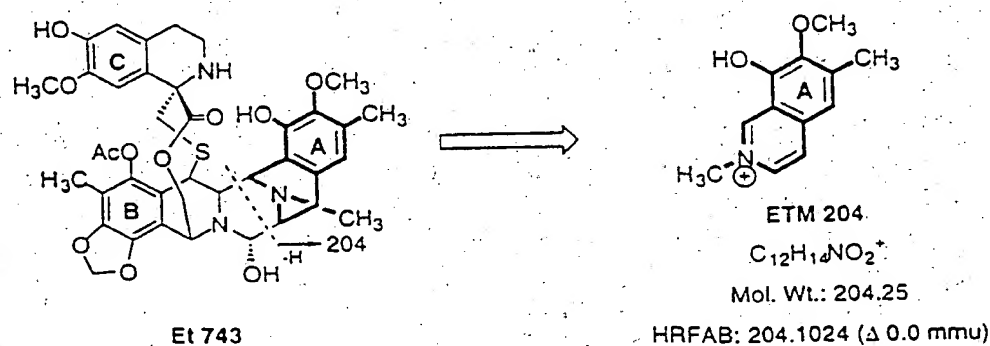
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**B. M2 metabolite (ETM 204).**

The provided sample was filtered through a C18 sep-pack and the eluant (3:1 MeOH/H<sub>2</sub>O) concentrated under a nitrogen stream and the resulting residue analyzed by FAB/MS, ESI/MS and <sup>1</sup>H NMR.

**C. The Structure of ETM 204 (M2).**

ETM 204 showed a molecular ion at 204.1024 by HRFAB/MS. This data is in agreement with the molecular formula C<sub>12</sub>H<sub>14</sub>NO<sub>2</sub> ( $\Delta$  0.0 mmu). ESI/MS analysis confirmed the molecular weight as 204 (**Figure 21**). The molecular formula matched with the molecular formula of the a-unit in Et 743. Thus, the chemical structure of ETM 204 was proposed to be the aromatic ammonium salt derivative shown in Scheme 3. This simple compound (as well as the other metabolites) can easily be monitored to assay the breakdown of Et 743 *in vivo*.

**Scheme 3**

A <sup>1</sup>H NMR spectrum (**Figure 22**) of ETM 204 showed resonances that supported the proposed structure: four aromatics signals ( $\delta$  9.2, s;  $\delta$  7.8, d,  $J$  = 5 Hz, and  $\delta$  6.8, s) and three methyl singlets ( $\delta$  4.2,  $\delta$  3.9 and  $\delta$  2.4) The ESI/MS/MS of ETM 204 (**Figure 23**) showed a prominent peak ion at 189

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corresponding to the apparent loss of the N-methyl group (204 - CH<sub>3</sub>).

#### Biological Studies of ETM-305 and ETM-775:

Compounds ETM-305 and ETM-775 have been assayed employing standard protocols for the following tumor cell lines; P-388 (murine leukemia); A-549 (human lung carcinoma); HT-29 (human colon adenocarcinoma); and MEL-28 (human malignant melanoma). See, for example, Bergeron et al., *Biochem. Biophys. Res. Comm.*, 1984, **121** (3) 848-854 and Schroeder et al., *J. Med. Chem.*, 1981, **24** 1078-1083. These results are shown below in Table 2:

TABLE 2:

Compound:	Cell Line & Activity			
	IC <sub>50</sub> (μg/ml)			
	P-388	A-549	HT-29	MEL-28
ETM-305	0.5	0.5	0.5	0.25
ETM-775	0.01	0.01	0.01	0.01

#### Methods of Treatment

The present invention includes bioactive compounds, and accordingly, an embodiment of the present invention is directed to methods of treatment using such compounds. As described above, the compounds of the present invention have exhibited *in vitro* cytotoxicity against tumor cell lines. It is anticipated that these *in vitro* activities will likewise extend to *in vivo* utility.

These compounds have been isolated in substantially pure form, i.e., at a purity level sufficient to allow physical and biological characterization thereof. These compounds have been found to possess specific antitumor activities and as

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such they will be useful as medicinal agents in mammals, particularly in humans. thus, another aspect of the present invention concerns pharmaceutical compositions containing the active compounds identified herein and methods of treatment employment such pharmaceutical compositions.

As described above, the active compounds of the present invention exhibit antitumor activity. thus, the present invention also provides a method of treating any mammal affected by a malignant tumor sensitive to these compounds, which comprises administering to the affected individual a therapeutically effective amount of an active compound or mixture of compounds, or pharmaceutical compositions thereof. The present invention also relates to pharmaceutical preparations, which contain as active ingredient one or more of the compounds of this invention, as well as the processes for its preparation.

Example of pharmaceutical compositions include any solid (tablets, pills, capsules, granules, etc.) or liquid (solutions, suspensions of emulsions) with suitable composition or oral, topical or parenteral administration, and they may contained the pure compound or in combination with any carrier of other pharmacologically active compounds. These compositions may need to be sterile when administered parenterally.

The terms "unit dose" as it pertains to the present invention refers to physically discrete units suitable as unitary dosages for animals, each unit containing a predetermined quantity of active material calculated to produce the desired antitumor effect in association with the required diluent; i.e., carrier, or vehicle. The specifications for the novel unit dose of this invention are dictated by and are directly dependent on (a) the unique characteristics of the active material and the particular antitumor effect to be achieved, and (b) the limitations inherent in the art of compounding such active material for antitumor use in animals.

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Unit dosage forms are typically prepared from the frozen or dried active compound (or salts thereof) by dispersement in a physiologically tolerable (i.e., acceptable) diluent or vehicle such as water, saline or phosphate-buffered saline to form an aqueous composition. Such diluents are well known in the art and are discussed, for example, in Remington's Pharmaceutical Sciences, 16th Ed., Mack Publishing Company, Easton, PA (1980) at pages 1465-1467.

Dosage forms can also include an adjuvant as part of the diluent. Adjuvants such as complete Freund's adjuvant (CFA), incomplete Freund's adjuvant (IFA) and alum are materials well known in the art, and are available commercially from several sources.

The quantity of active compound to be administered depends, *inter alia*, on the animal species to be treated, the subject animal's size, the size of the tumor (if known), the type of tumor (e.g., solid) present, and the capacity of the subject to utilize the active compound. Precise amounts of active compound required to be administered depend on the judgment of the practitioner and are peculiar to each individual, particularly where humans are the treated animals. Dosage ranges, however, can be characterized by a therapeutically effective blood concentration and can range from a concentration of from about 0.01  $\mu\text{M}$  to about 100  $\mu\text{M}$ , preferably about 0.1  $\mu\text{M}$  to 10  $\mu\text{M}$ .

Suitable regimes for initial administration and booster injections are also variable, but are typified by an initial administration followed by repeated doses at one or more hour intervals by a subsequent injection or other administration. Alternatively, continuous intravenous infusion sufficient to maintain a therapeutically effective concentration in the blood are contemplated.

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**References:**

The following background references are provided to assist the reader in understanding this invention. To the extent necessary, the contents are hereby incorporated herein by reference.

1. A) Rinehart et al., *J. Org. Chem.* **1990**, 55, 4512. B) Rinehart et al., *J. Am. Chem. Soc.*, **1996**, 118 9017.
2. Herbert et al., *J. Chem. Soc. Perkin Trans. I*, **1987**, 1593.
3. Pretsch et al. *Tables of Spectral Data for Structure Determination of Organic Compounds*; Springer-Verla: Berlin, 1989; p. H125.
4. Rinehart et al., *Biochem. Res. Commun.*, **1984**, 124, 350.

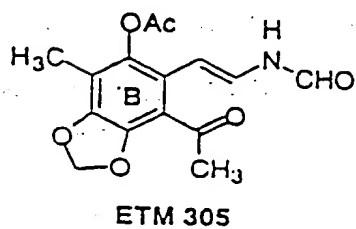
The present invention has been described in detail, including the preferred embodiments thereof. However, it will be appreciated that those skilled in the art, upon consideration of the present disclosure, may make modifications and/or improvements on this invention and still be within the scope and spirit of this invention.



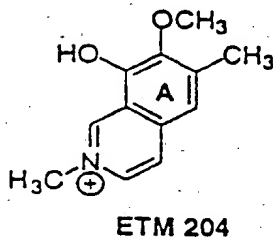
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**WHAT IS CLAIMED IS:**

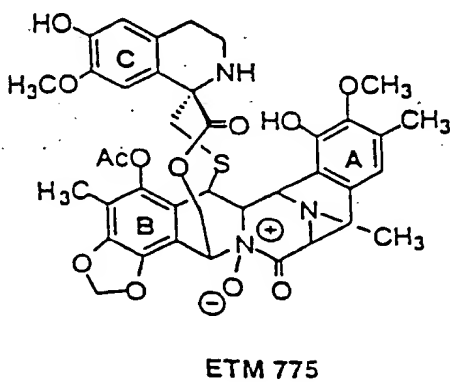
1. Substantially pure ETM-305, having the following structure:



2. Substantially pure ETM-204, having the following structure:



3. Substantially pure ETM-775 having the following structure:



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4. A method of treating mammalian leukemia in patients in need of such treatment, said method comprising administering an effective amount of ETM-305 to said patient in unit dosage form.

5. A method of treating mammalian leukemia in patients in need of such treatment, said method comprising administering an effective amount of ETM-775 to said patient in unit dosage form.

6. A method of treating mammalian lung carcinoma in patients in need of such treatment, said method comprising administering an effective amount of ETM-305 to said patient in unit dosage form.

7. A method of treating mammalian lung carcinoma in patients in need of such treatment, said method comprising administering an effective amount of ETM-775 to said patient in unit dosage form.

8. A method of treating mammalian colon adenocarcinoma in patients in need of such treatment, said method comprising administering an effective amount of ETM-305 to said patient in unit dosage form.

9. A method of treating mammalian colon adenocarcinoma in patients in need of such treatment, said method comprising administering an effective amount of ETM-775 to said patient in unit dosage form.

10. A method of treating mammalian malignant melanoma in patients in need of such treatment, said method comprising administering an effective amount of ETM-305 to said patient in unit dosage form.

11. A method of treating mammalian malignant melanoma in patients in need of such treatment, said method comprising administering an effective

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amount of ETM-775 to said patient in unit dosage form.

12. A pharmaceutical composition comprising ETM-305 and a pharmaceutically acceptable carrier, diluent or excipient.

13. A pharmaceutical composition comprising ETM-775 and a pharmaceutically acceptable carrier, diluent or excipient.

14. A method of assaying the human cytochrome CYP3A4 metabolism of ecteinascidin 743, comprising monitoring a test sample for the presence of one or more metabolites selected from the group consisting of ETM-204, ETM-305 and ETM-775.

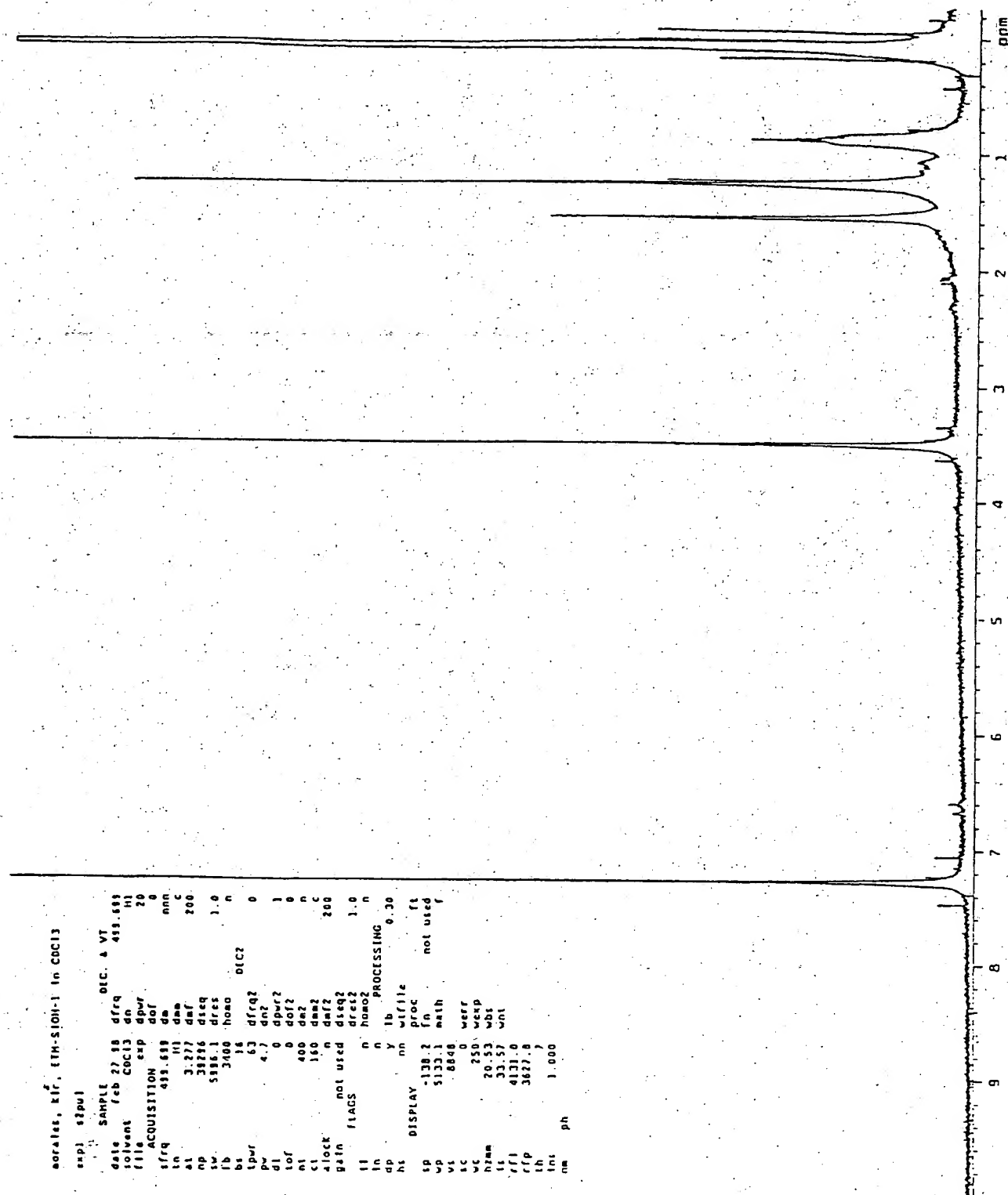
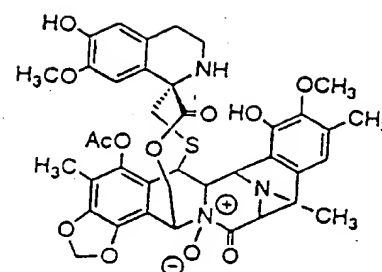
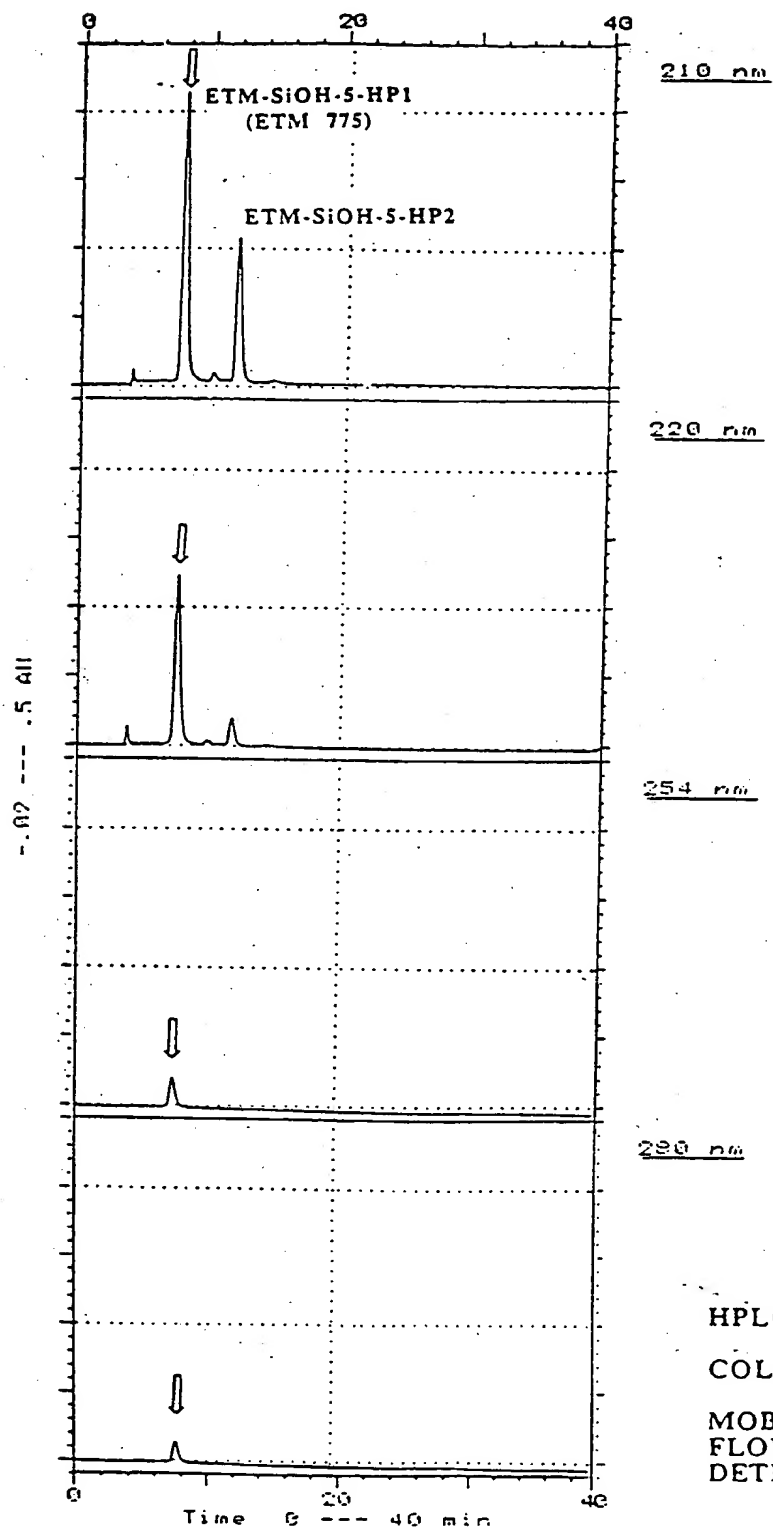
Figure 1.  $^1\text{H}$  NMR spectrum (500 MHz) of ETM-SiOH-1 (non-polar impurity) in  $\text{CDCl}_3$ .

Figure 2. HPLC chromatogram of ETM-SiOH-4 (ETM 775).

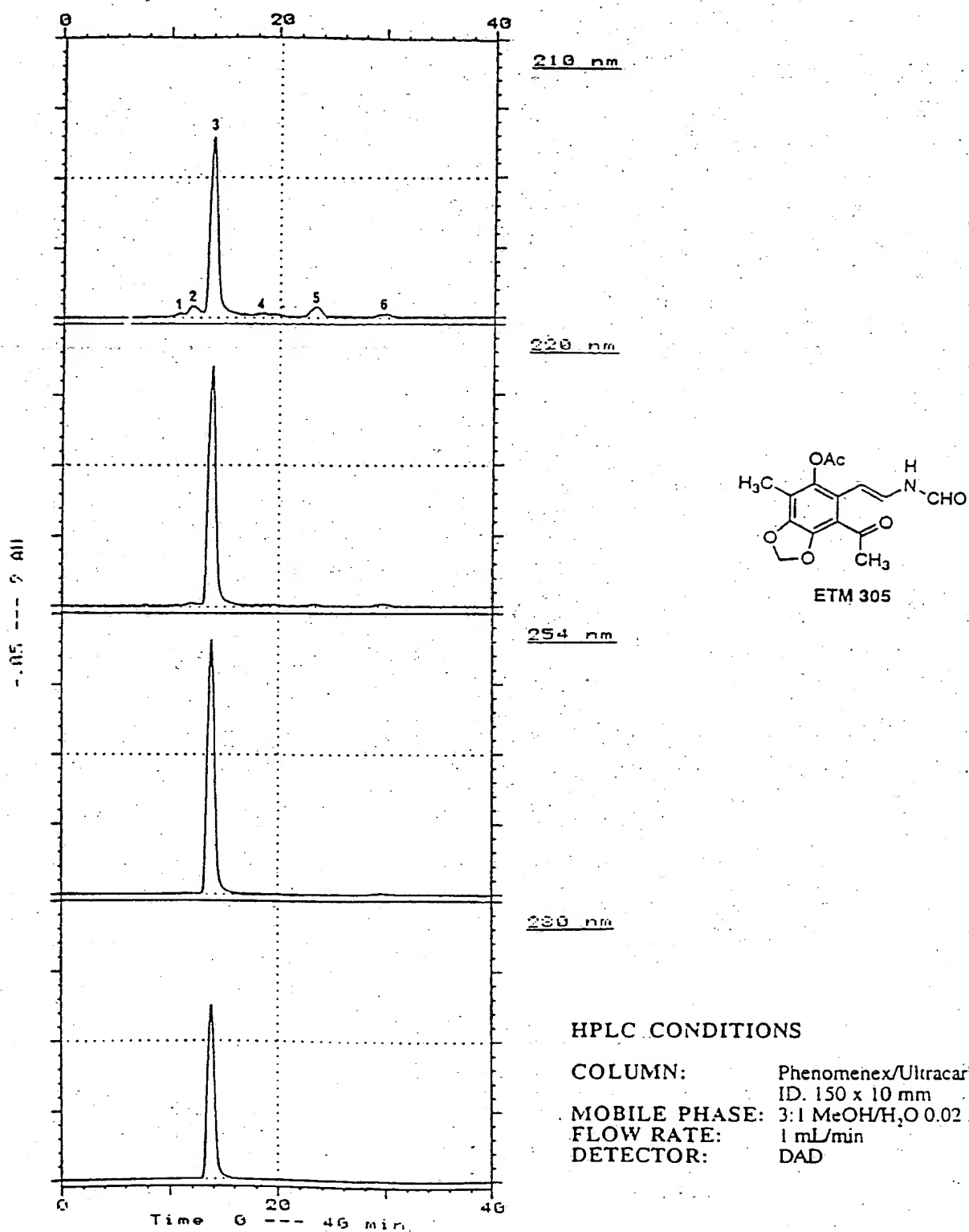


ETM 775

## HPLC CONDITIONS

COLUMN: Phenomenex/Ultracarb 5 ODS,  
ID 150 x 10 mm  
MOBILE PHASE: 3:1 MeOH/H<sub>2</sub>O 0.02 M NaCl  
FLOW RATE: 2 mL/min  
DETECTOR: DAD

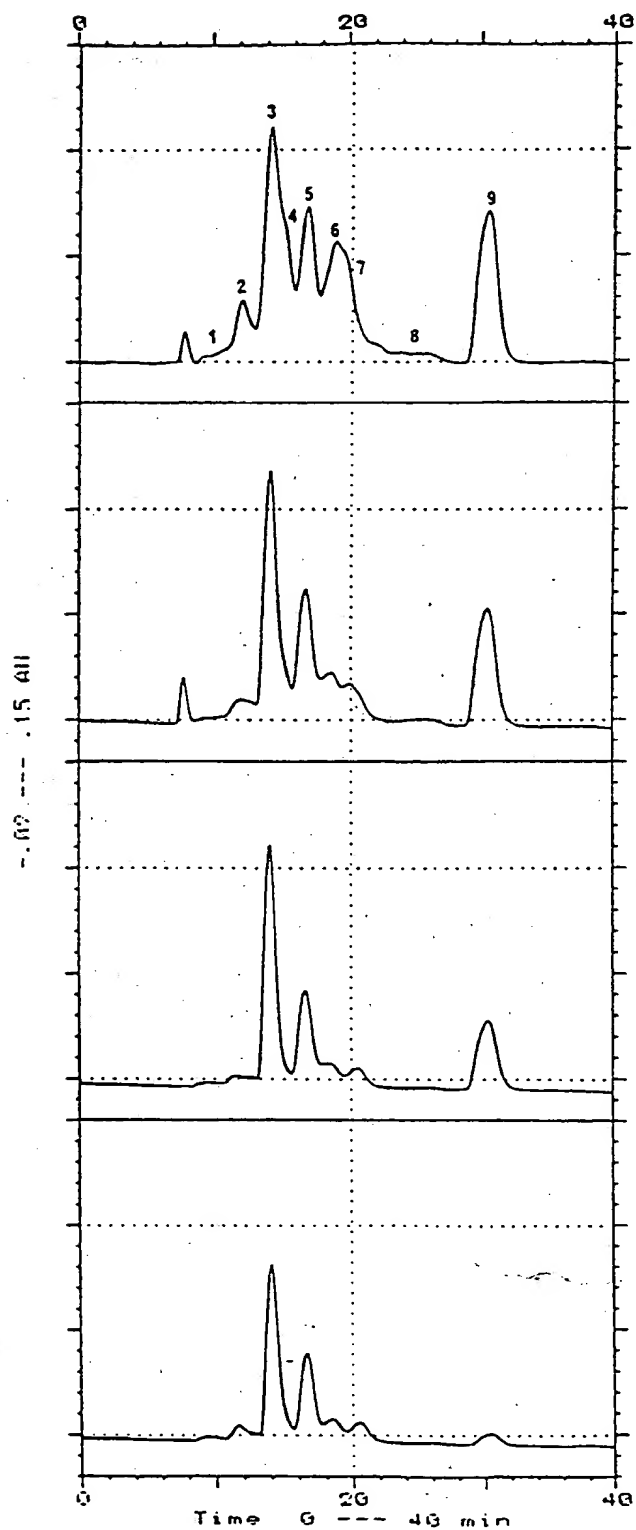
Figure 3. HPLC chromatogram of ETM-SiOH-3 (ETM 305).



## HPLC CONDITIONS

COLUMN: Phenomenex/Ultracarb 5 ODS  
ID. 150 x 10 mm  
MOBILE PHASE: 3:1 MeOH/H<sub>2</sub>O 0.02 M NaCl  
FLOW RATE: 1 mL/min  
DETECTOR: DAD

Figure 4. HPLC chromatogram of ETM-SiOH-2 (trace metabolites).



## HPLC CONDITIONS

COLUMN: Phenomenex/Ultracarb 5 ODS  
ID. 150 x 10 mm  
MOBILE PHASE: 3:1 MeOH/H<sub>2</sub>O 0.02 M NaCl  
FLOW RATE: 1 mL/min  
DETECTOR: DAD

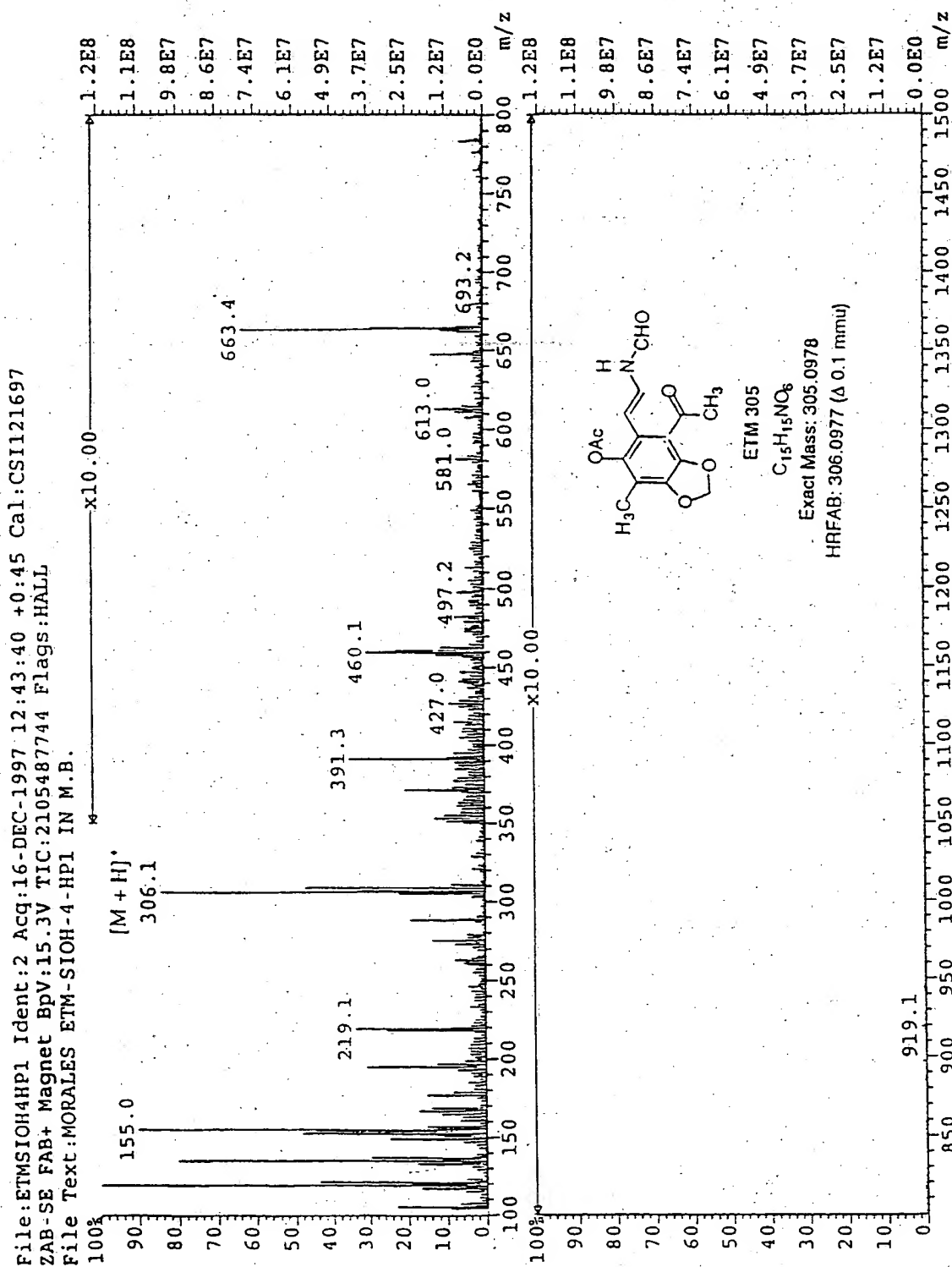
Figure 5. LRFAB mass spectrum of ETM 305 in M. B. (magic bullet<sup>4</sup>).



Figure 6. ESI mass spectrum of ETM 305.

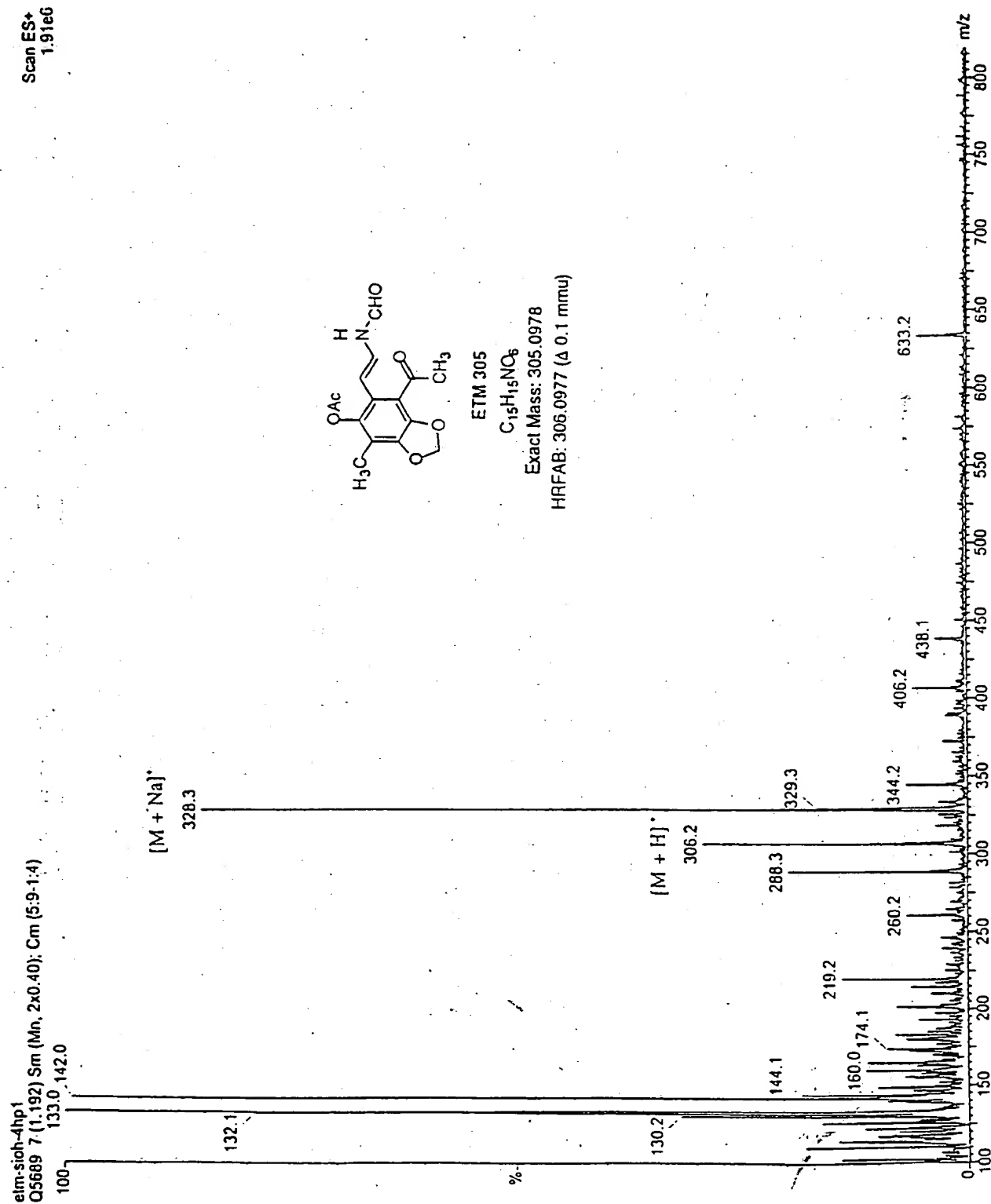


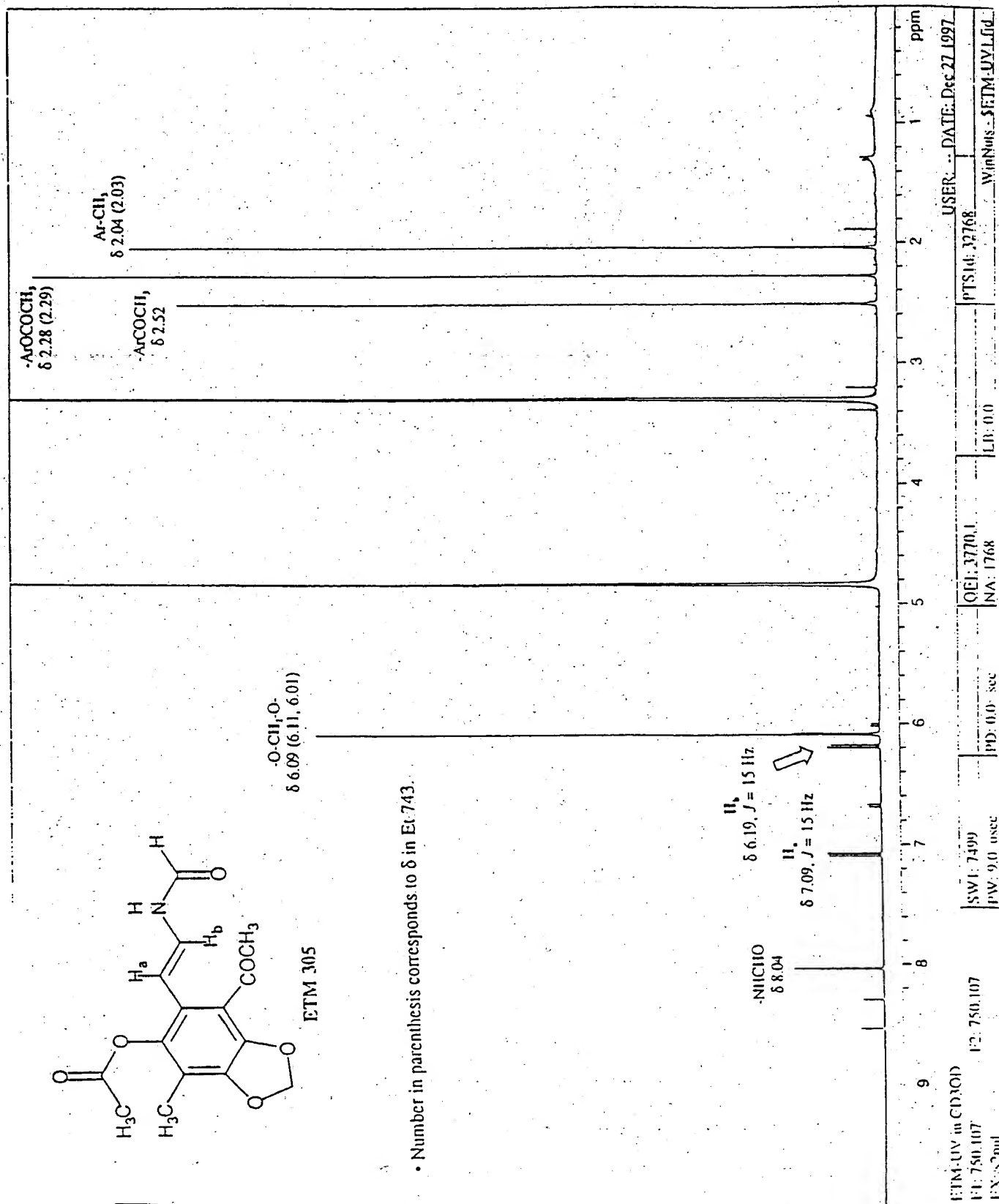
Figure 7.  $^1\text{H}$  NMR spectrum (750 MHz) of ETM 305 in  $\text{CD}_3\text{OD}$ .

Figure 8. FAB/MS/MS spectrum of ETM 305.

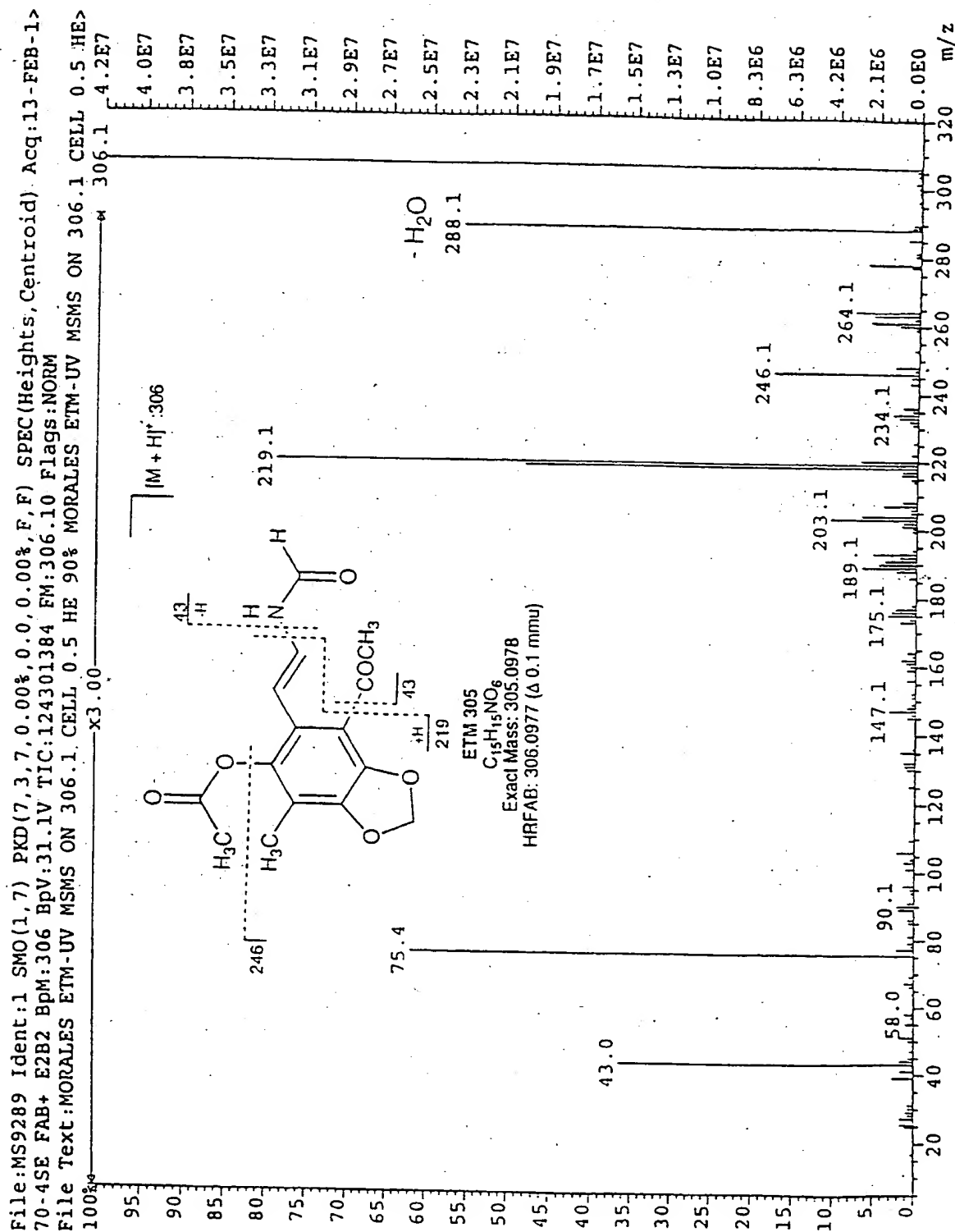


Figure 9. UV spectrum of ETM 305.

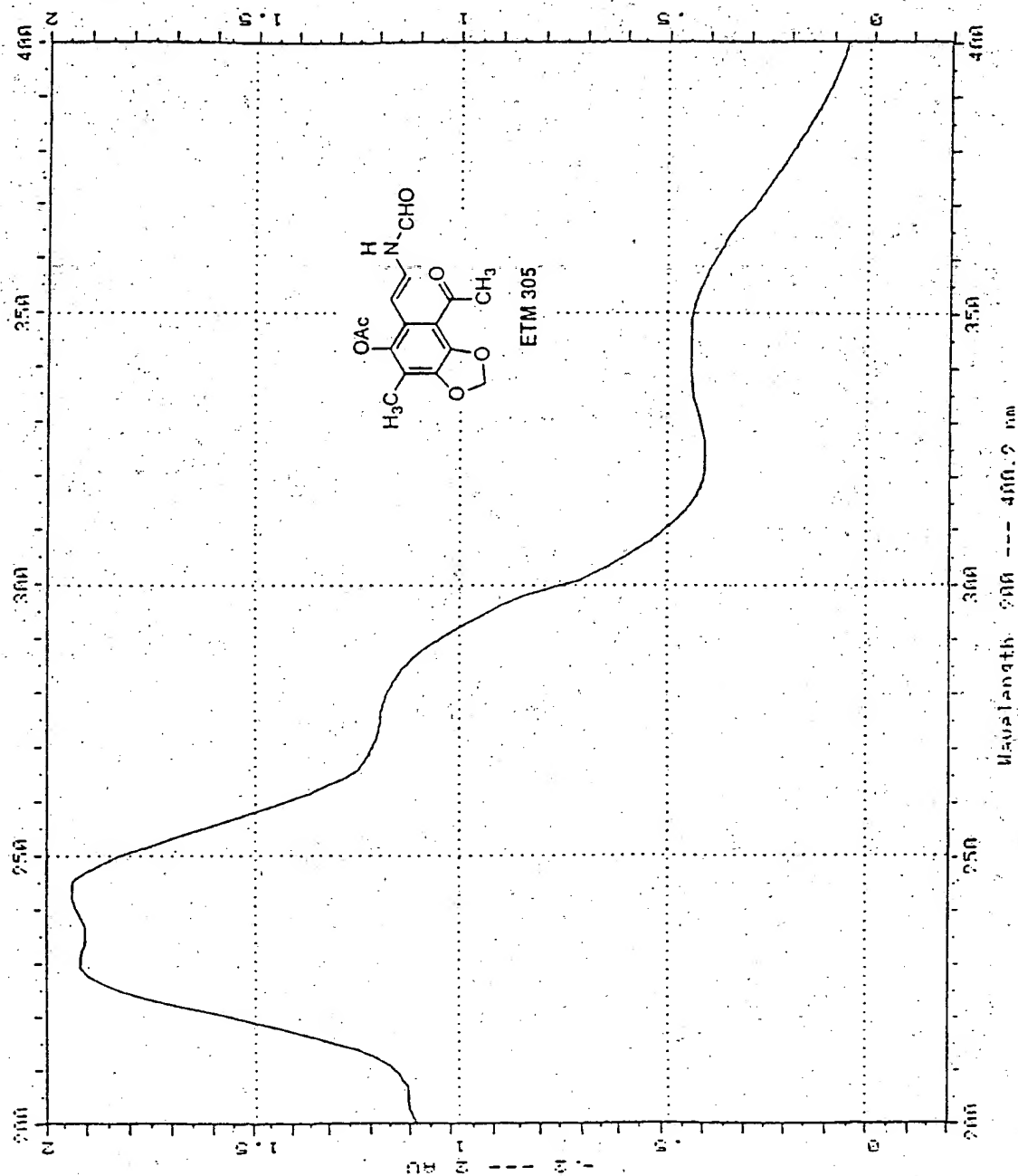


Figure 10. UV spectrum of ETM (PharmaMar).

int of window 39: UV Apex spectrum of Peak 7.82 of PICO-M2.D

UV Apex spectrum of Peak 7.82 of PICO-M2.D

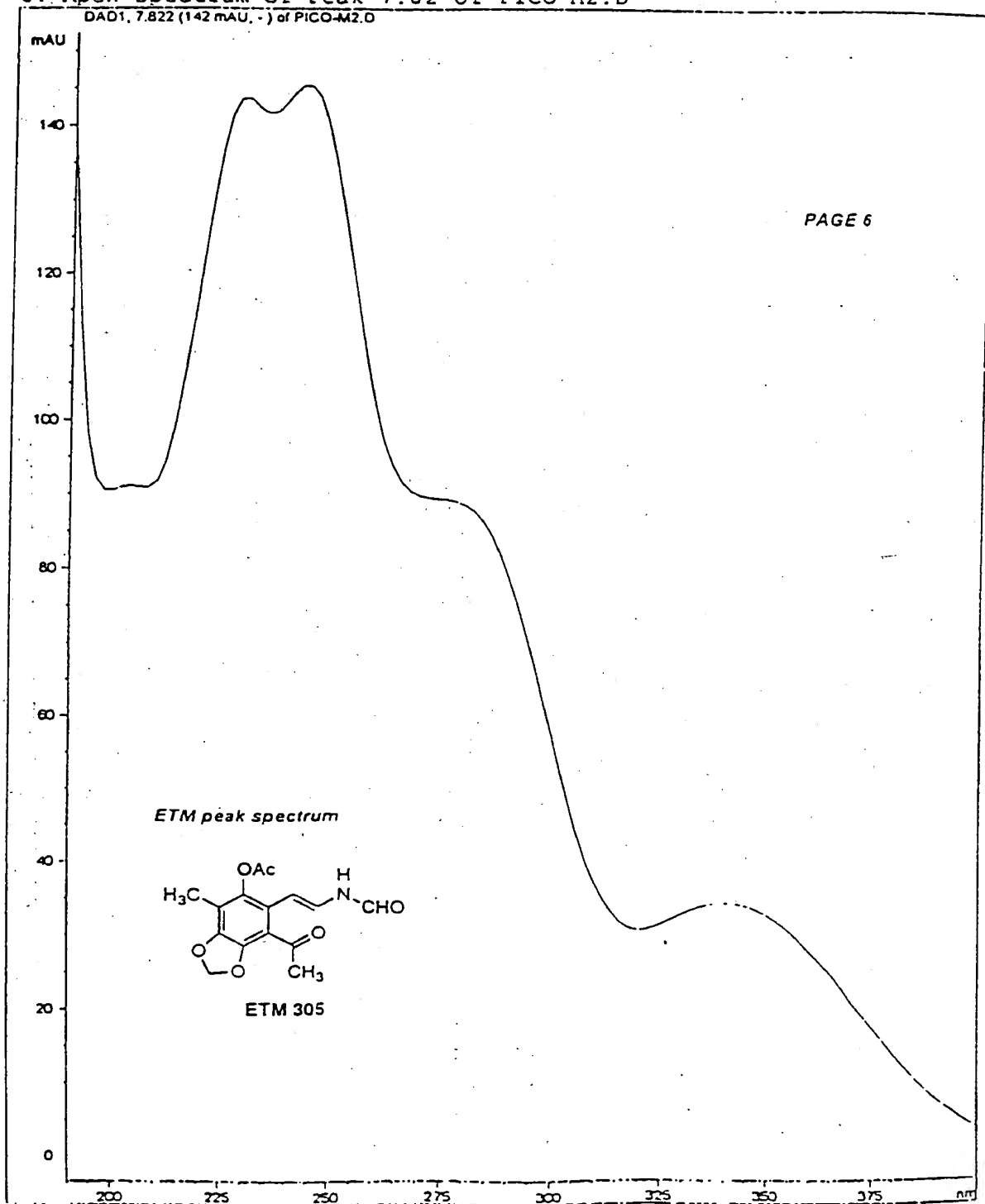


Figure 11. LRFAB mass spectrum of ETM 775 in M. B.

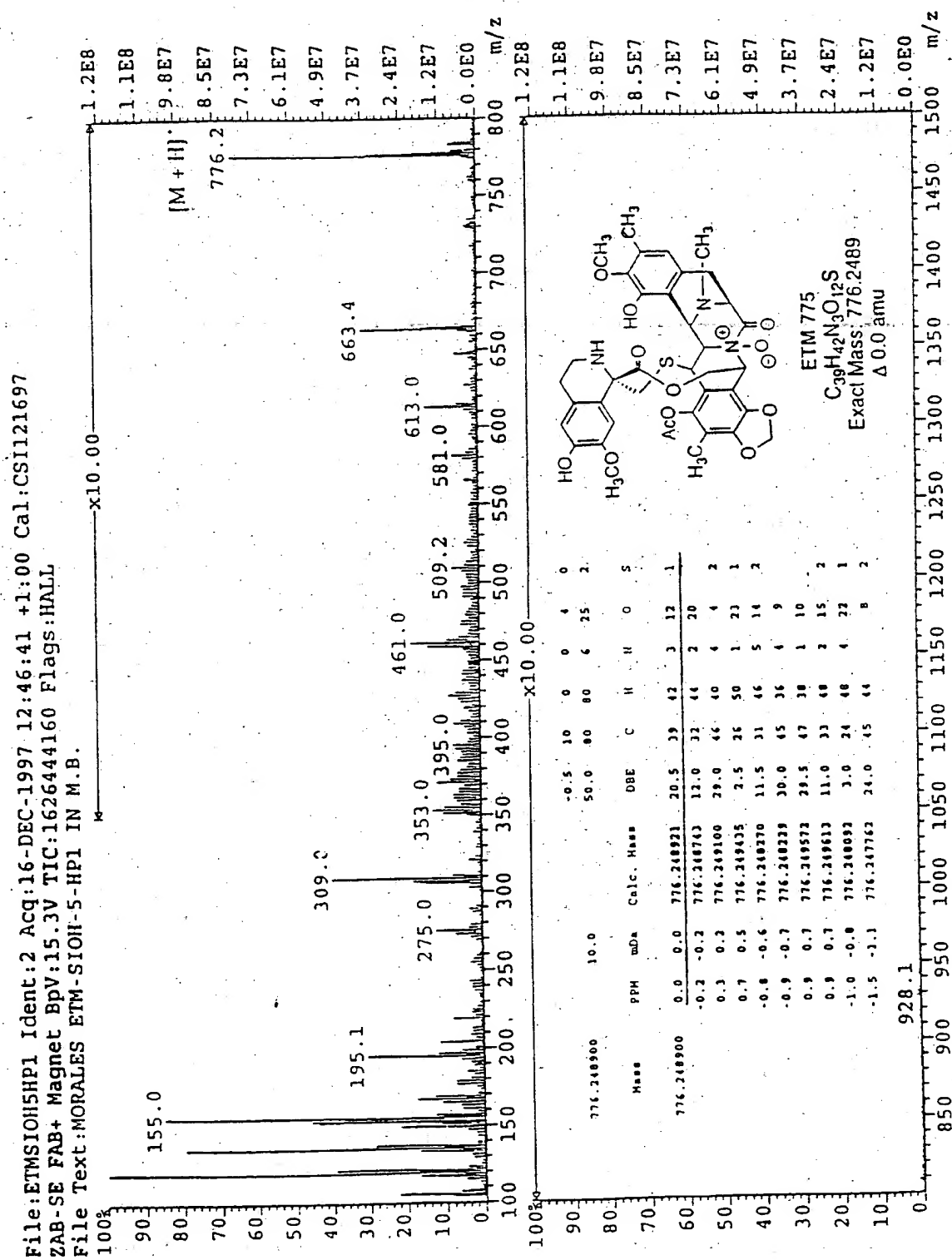


Figure 12. ESI mass spectrum of ETM 775 (positive mode).

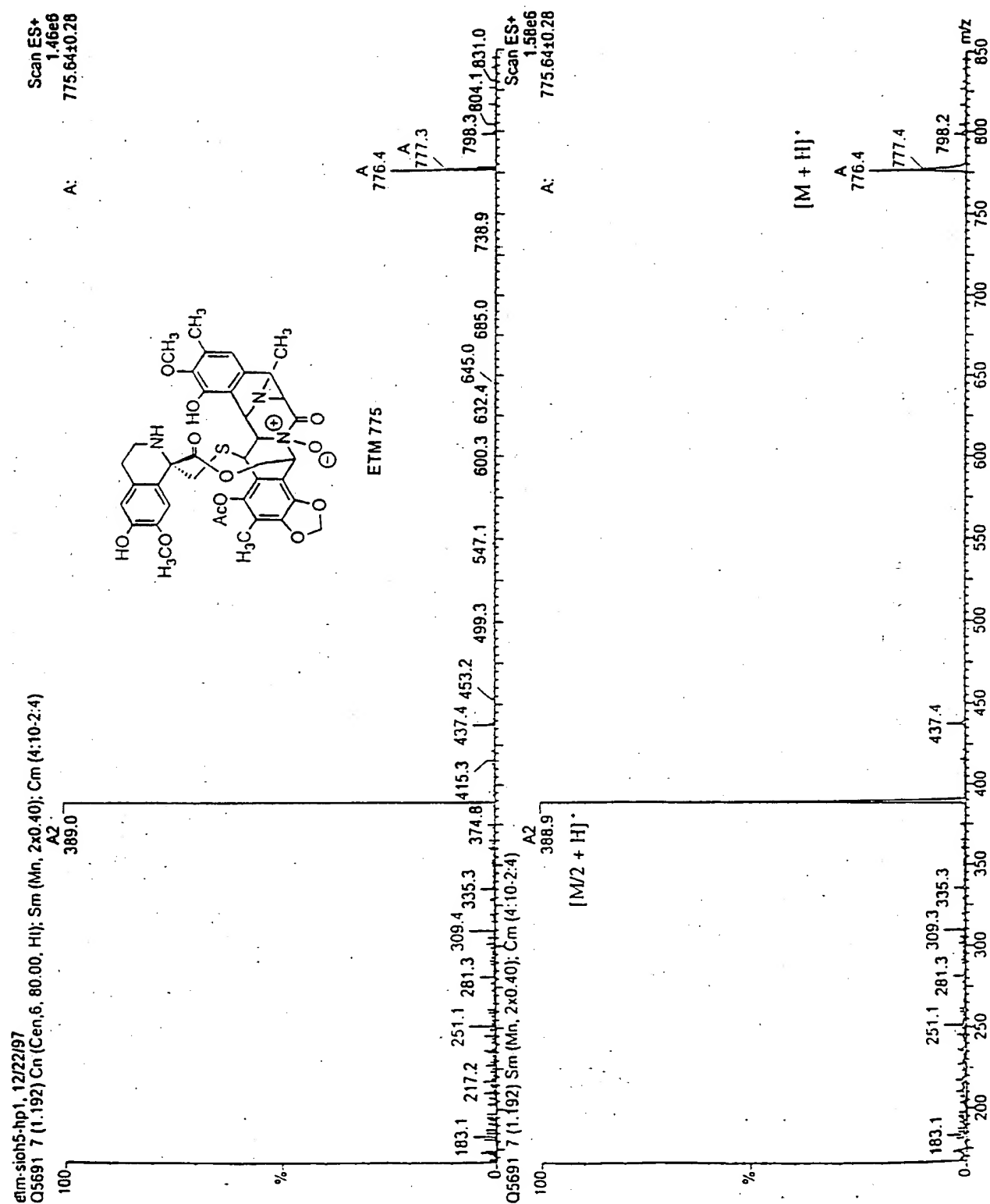


Figure 13. ESI mass spectrum of ETM 775 (negative mode).

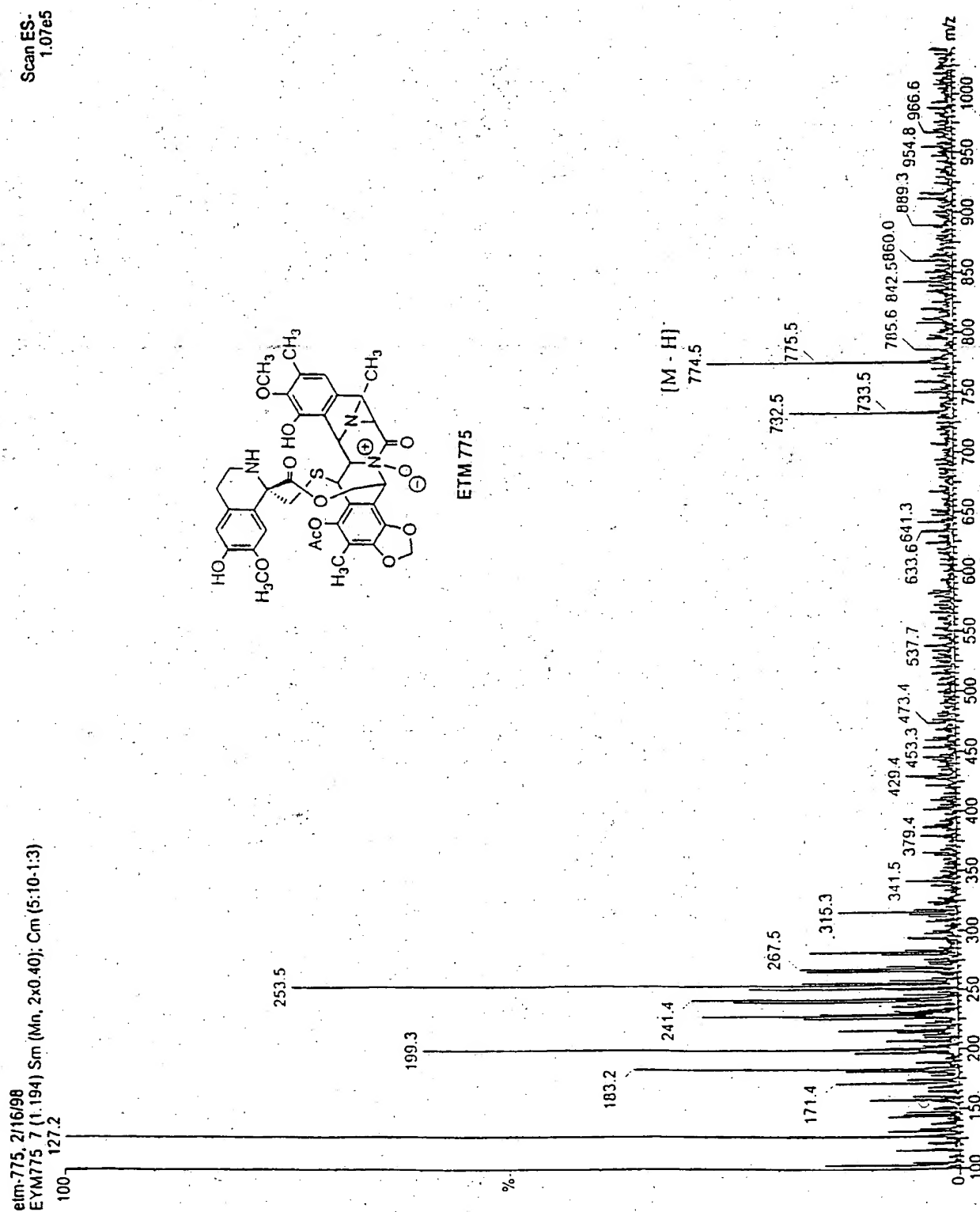




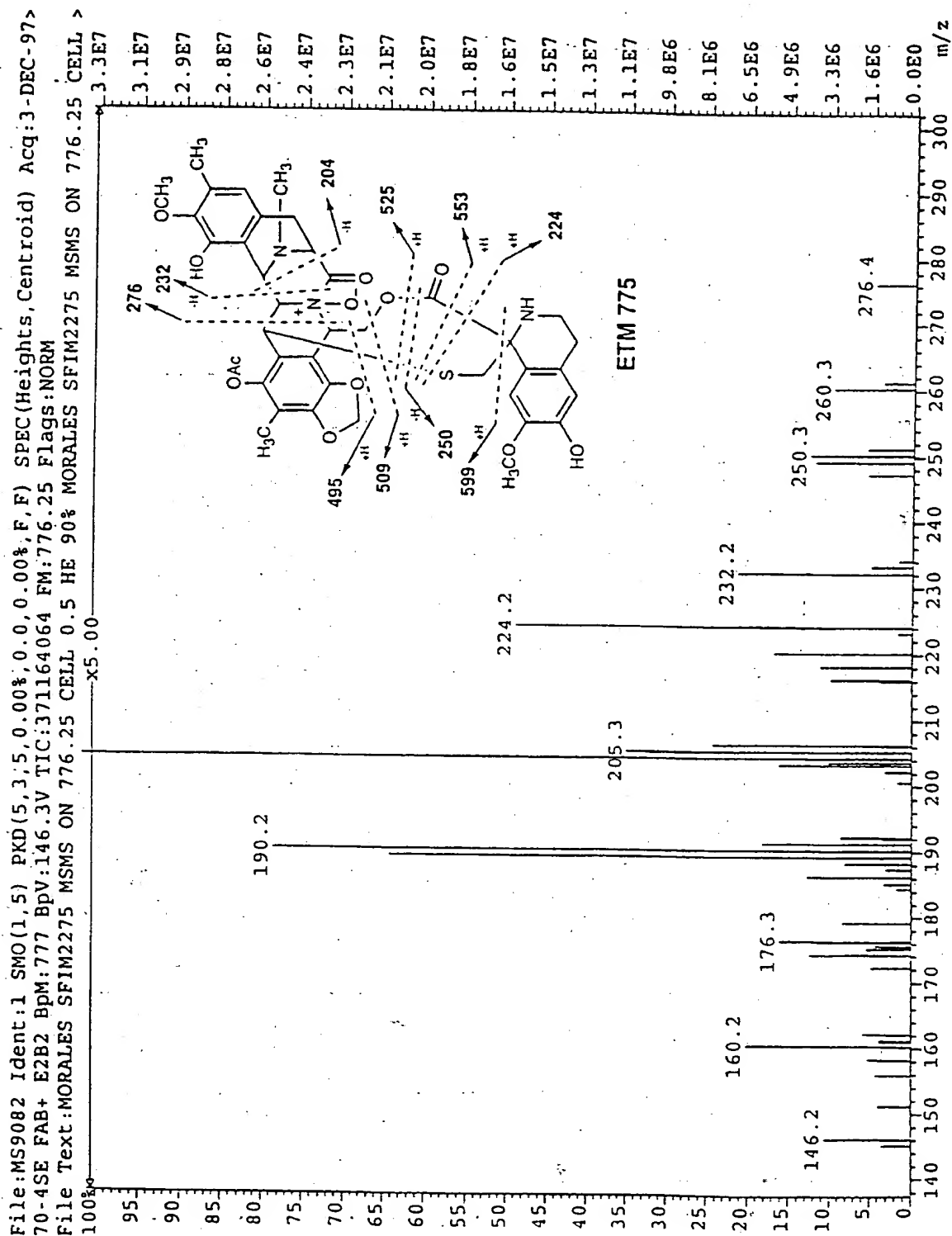
Figure 14. FAB/MS/MS spectrum of ETM 775 ( $m/z$  138 - 302).

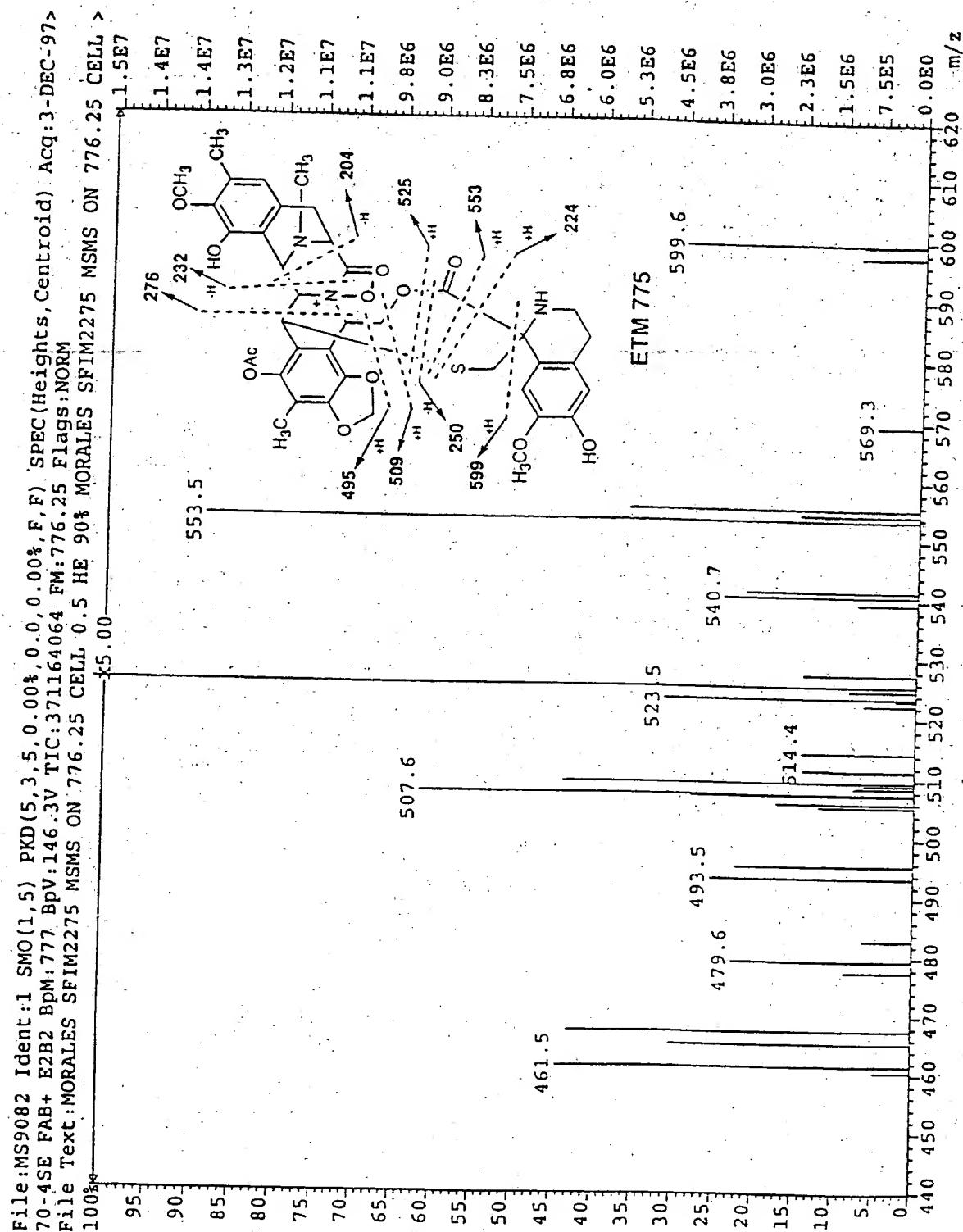
Figure 15. FAB/MS/MS spectrum of ETM 775 ( $m/z$  440 - 620).

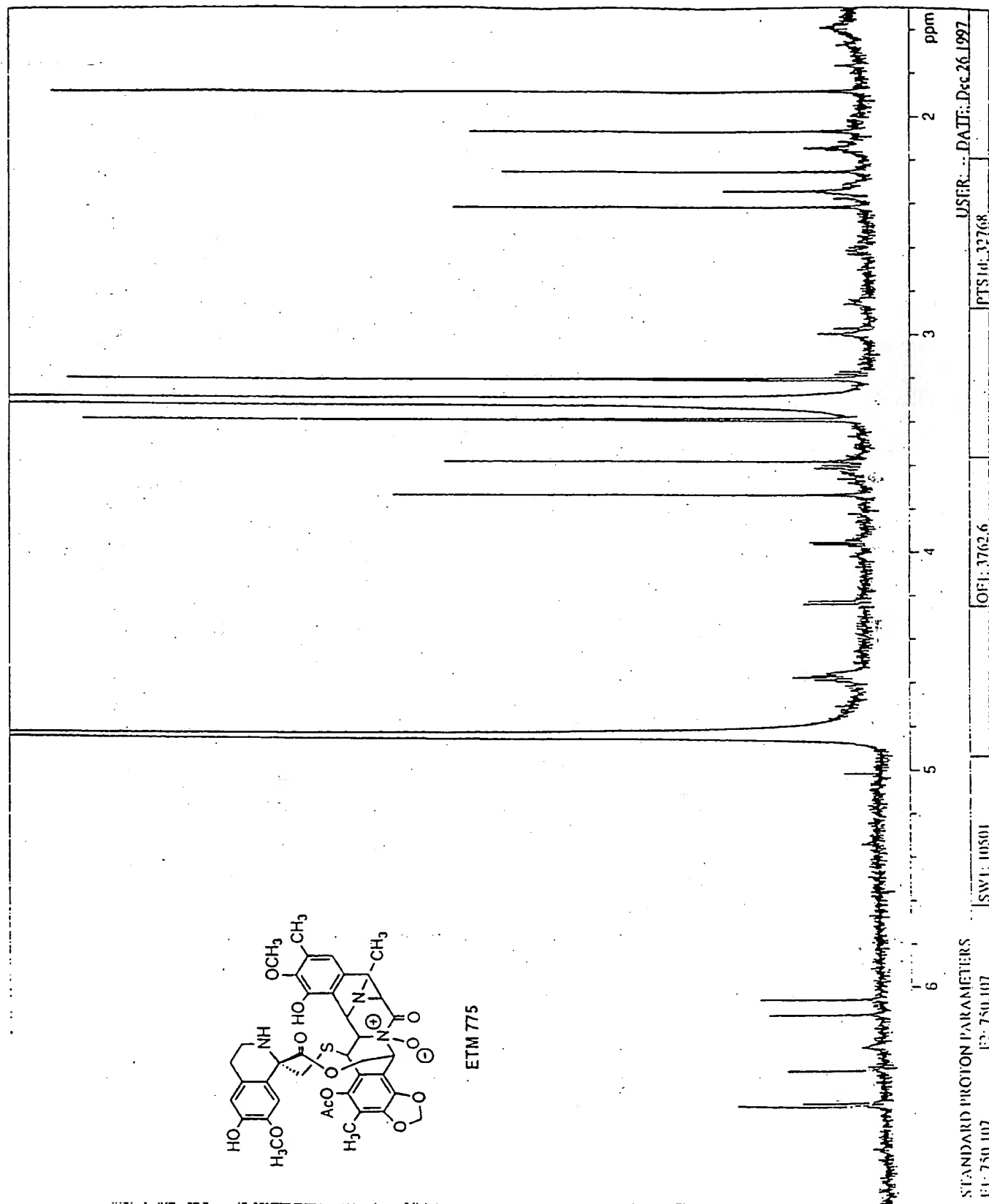
Figure 16.  $^1\text{H}$  NMR spectrum (750 MHz) of ETM 775 in  $\text{CD}_3\text{OD}$ .

Figure 17. UV spectrum of ETM 775.

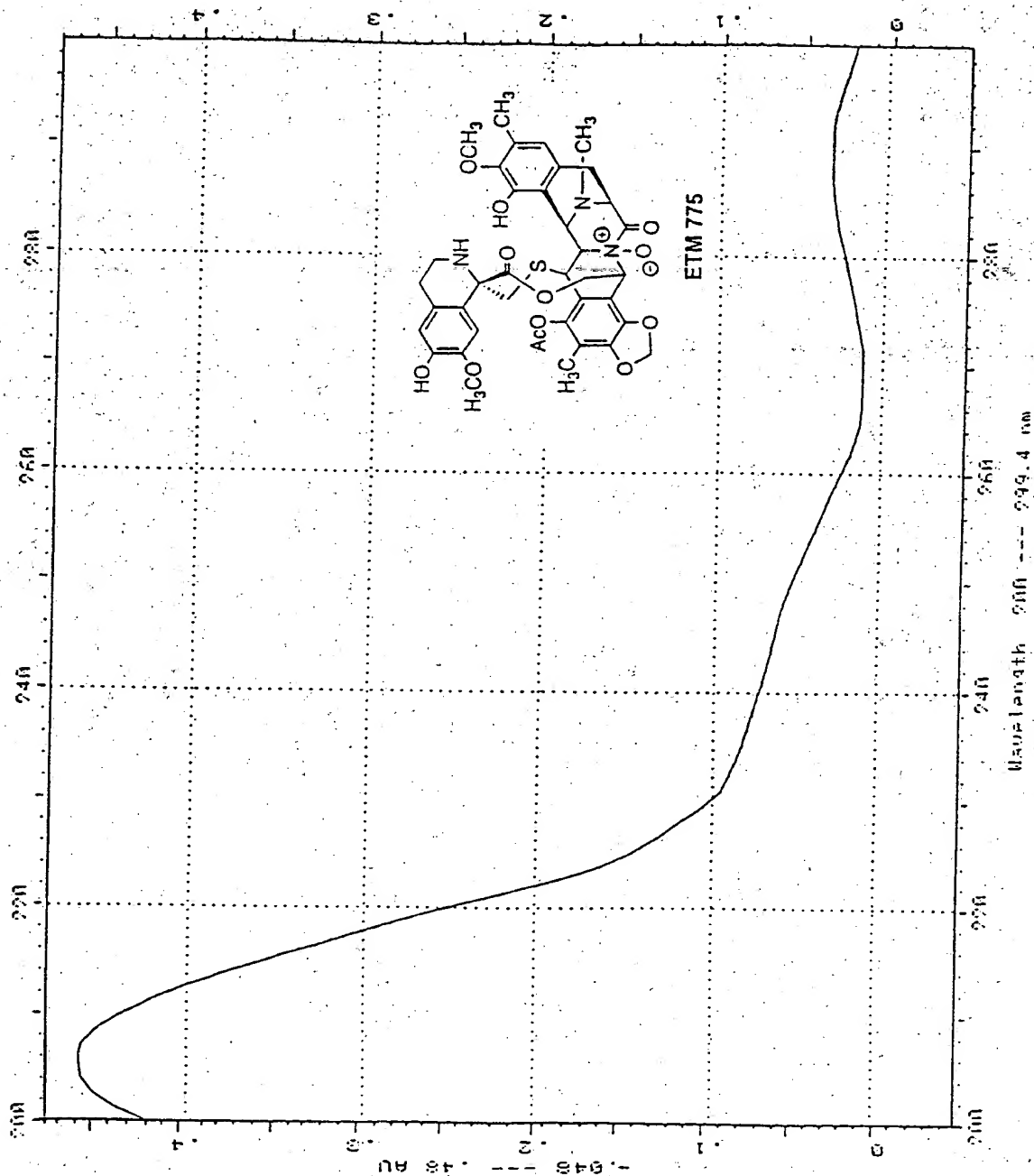
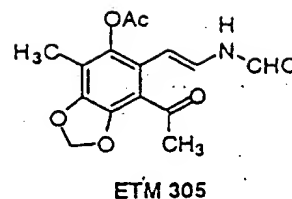
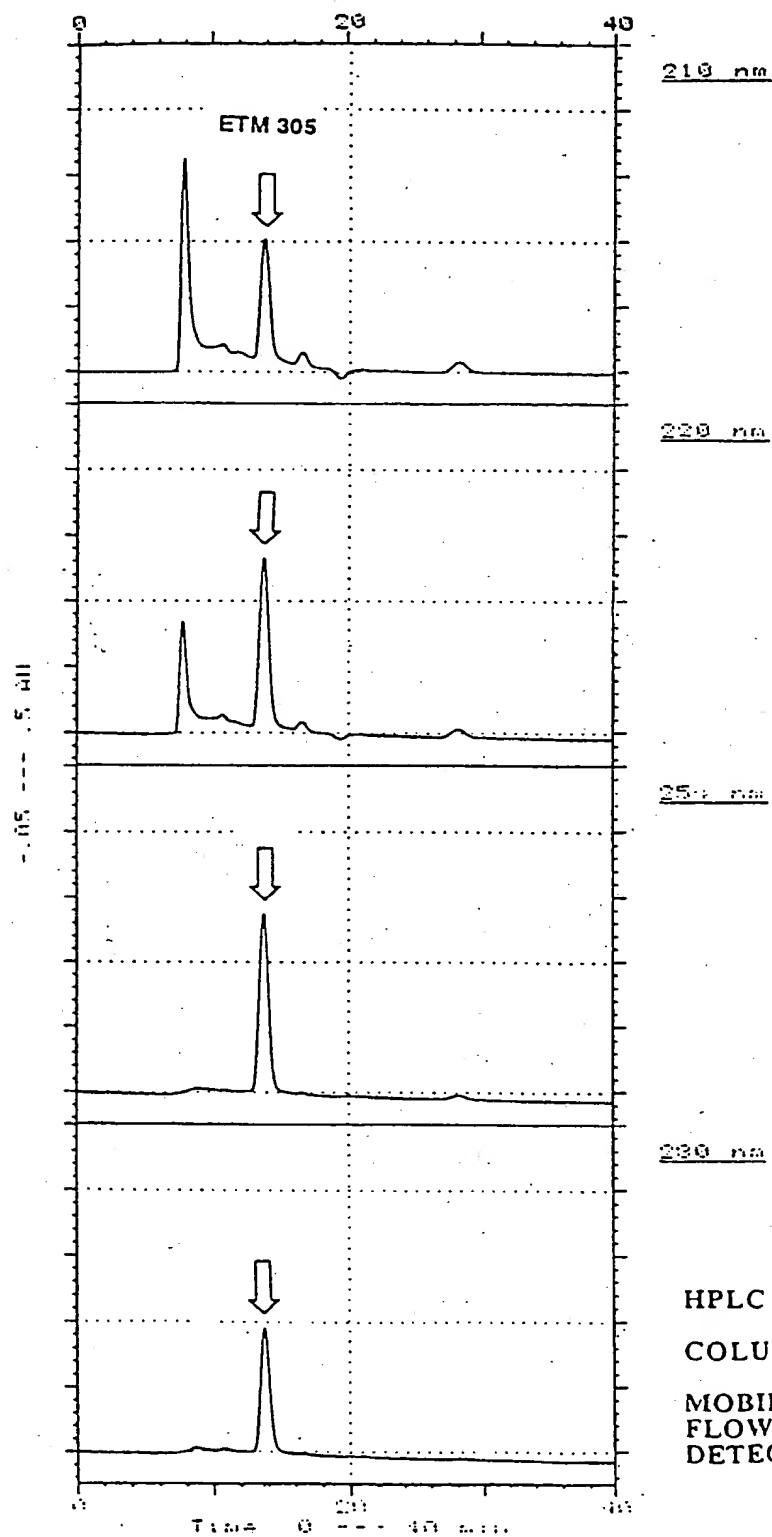


Figure 18. HPLC chromatogram of M1 metabolite (ETM 305).



## HPLC CONDITIONS

COLUMN: Phenomenex/Ultracarb 5 ODS  
ID. 150 x 10 mm  
MOBILE PHASE: 3:1 MeOH/H<sub>2</sub>O 0.02 M NaCl  
FLOW RATE: 1 mL/min  
DETECTOR: DAD

Figure 19. UV spectrum of M1 metabolite (ETM 305).

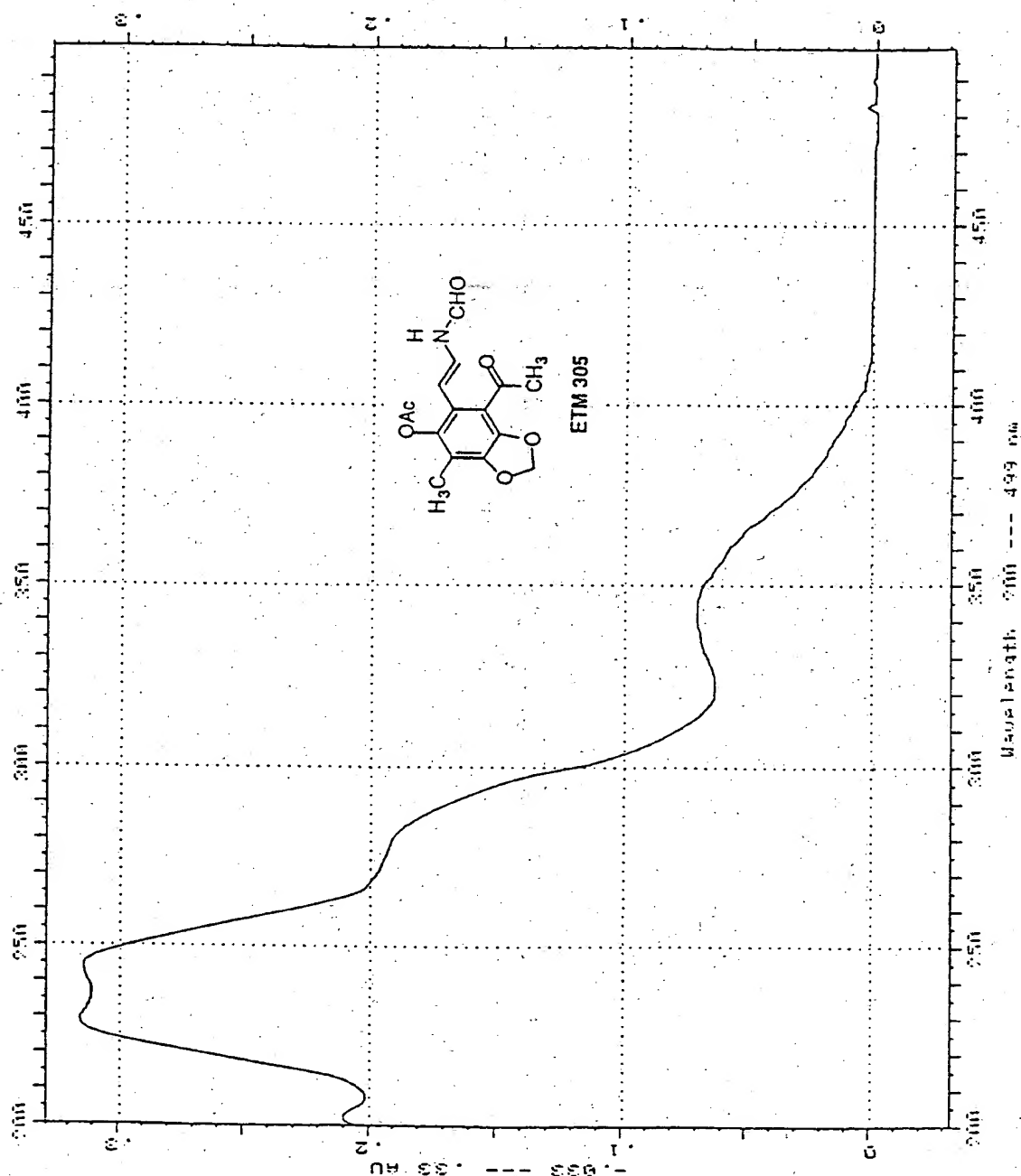


Figure 20. ESI mass spectrum of M1 metabolite (ETM 305).

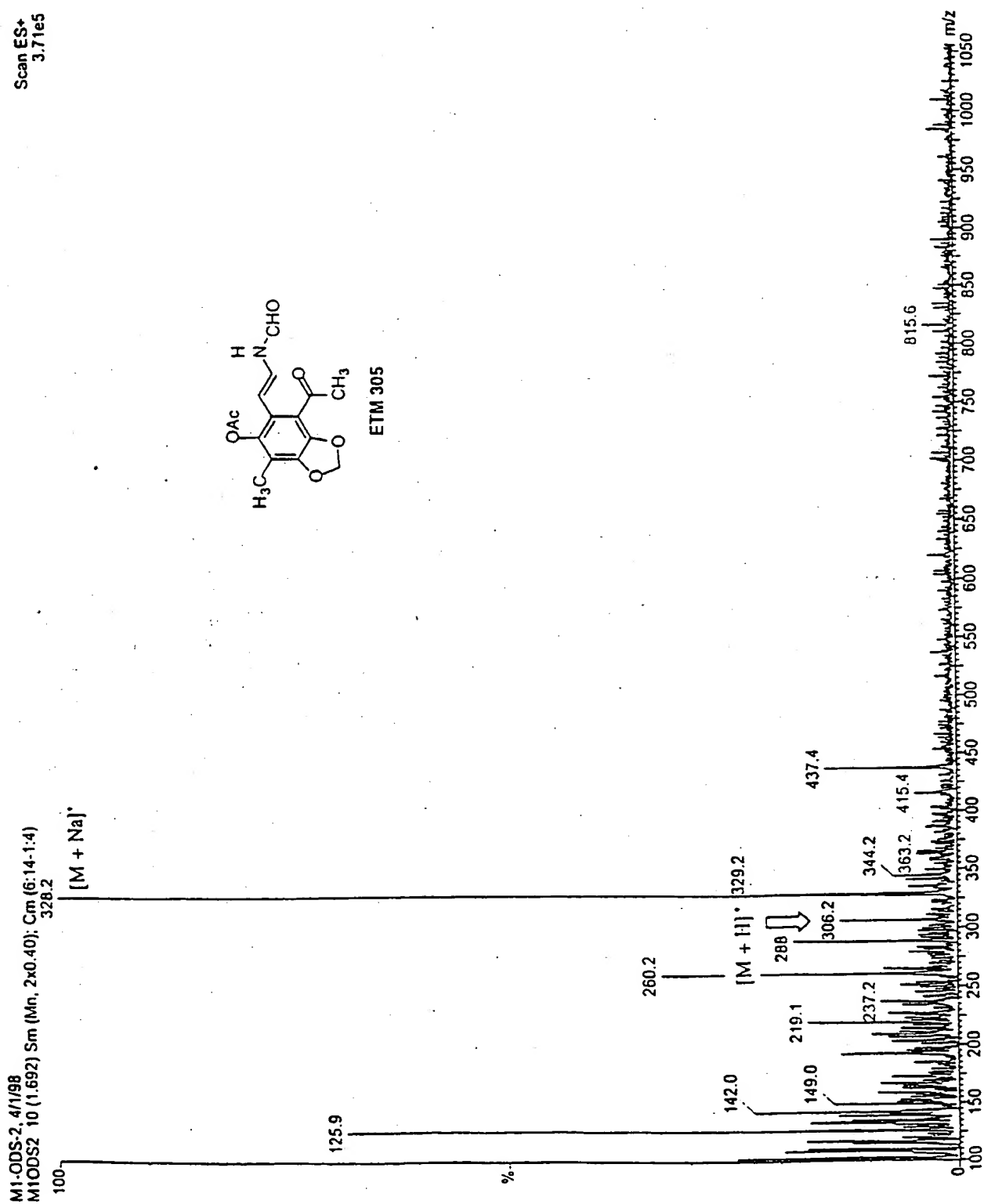


Figure 21. ESI mass spectrum of M2 metabolite (ETM 204).

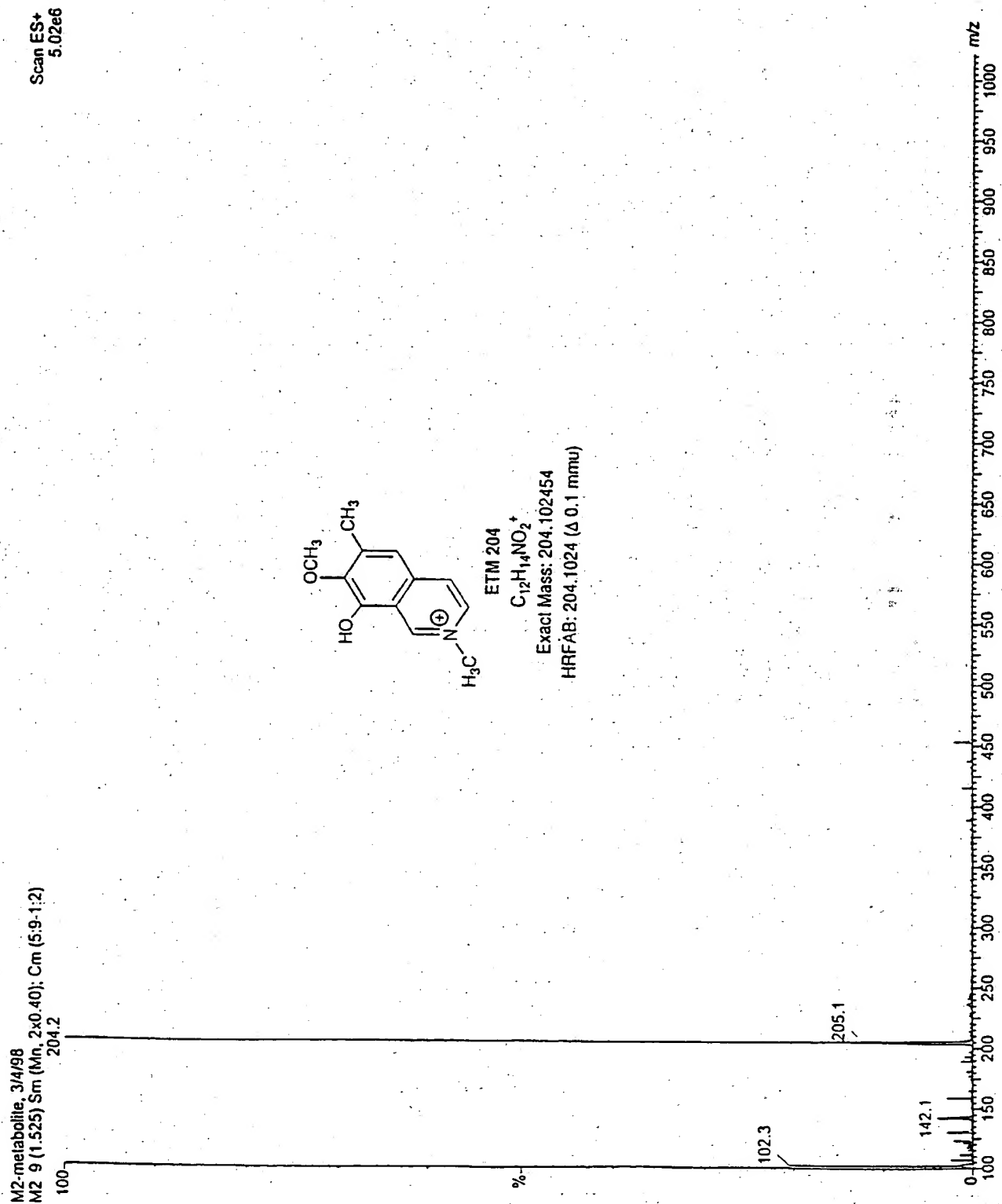
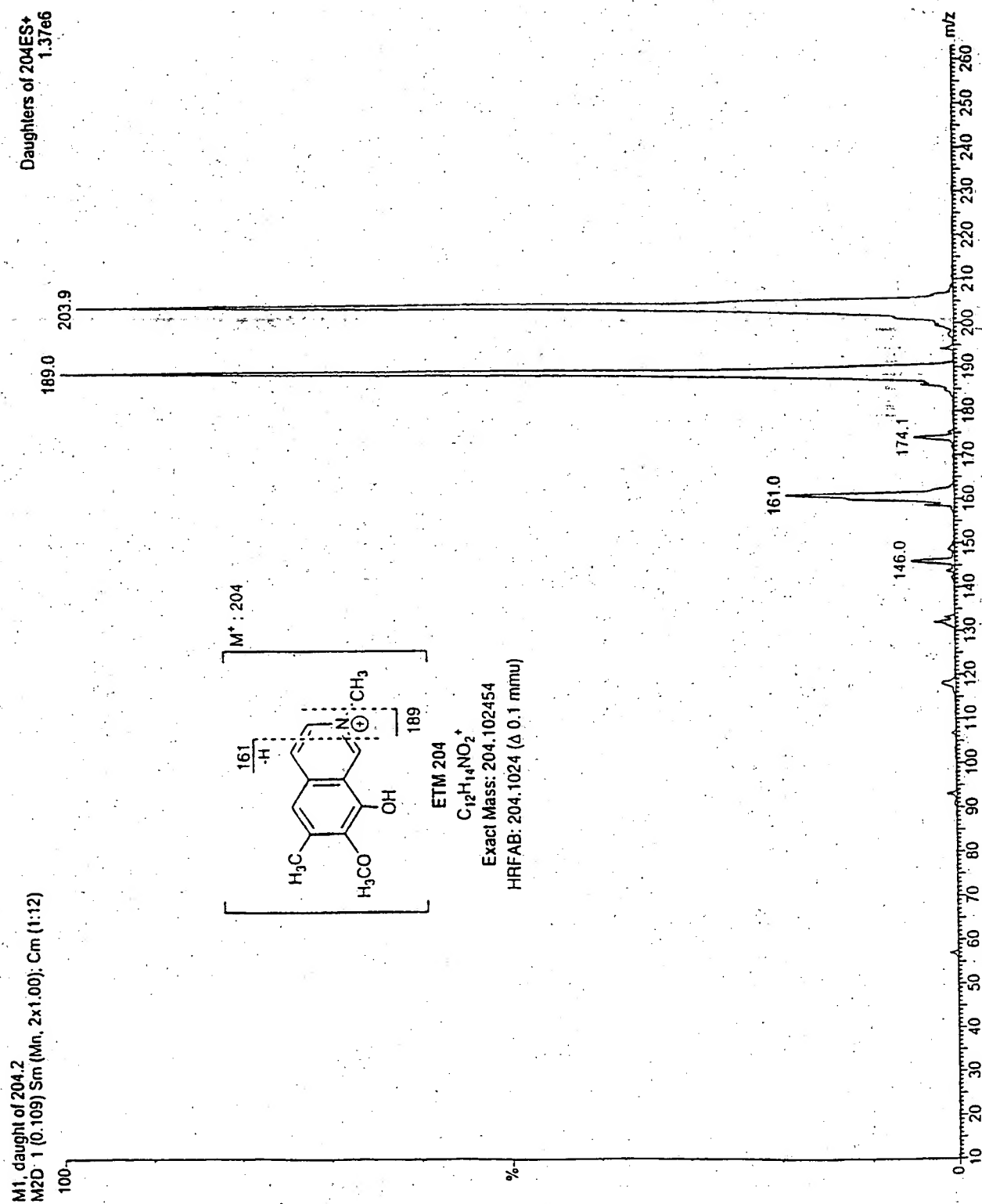






Figure 23. ESI/MS/MS spectrum of M2 metabolite (ETM 204).



## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US99/10233

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : Please See Extra Sheet.

US CL : 514/307, 431, 466; 546/151; 549/10, 437

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 514/307, 431, 466; 546/151; 549/10, 437

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

CAS ONLINE

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.†
X,P ----- A,P	WO 98/46080 A1 (THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS) 22 October 1998, see entire document especially figures 4-5 and 8.	2 ----- 1, 3-14

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*Z* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

25 JUNE 1999

Date of mailing of the international search report

17 AUG 1999

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

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INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US99/10233

A. CLASSIFICATION OF SUBJECT MATTER:  
IPC (6):

A61K 31/36, 31/39, 31/47; C07D 217/10, 317/52, 327/02, 411/14, 497/22